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Department of Agriculture

Forest Service

Intermountain Research Station Ogden, UT 84401

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COVER: A User's Guide to the **CANOPY** and SHRUBS Extension of the Stand **Prognosis Model** 

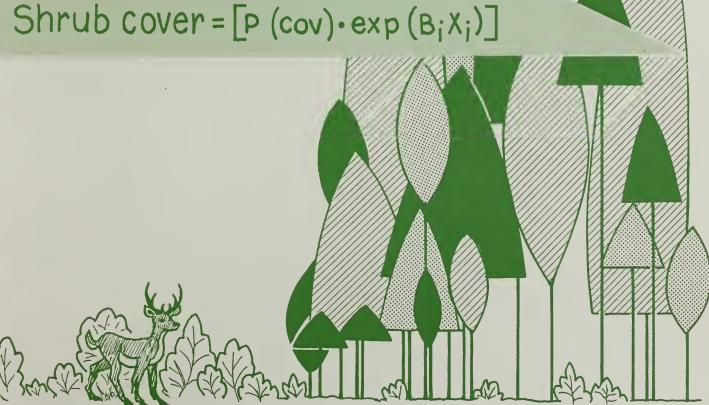
Melinda Moeur

Fol = exp(B;X;)

Crown shape= $d_i^2(x)$ 

Crown width = exp(B; X;)

 $P(Shrubs) = \frac{1 + exp[-B;X;]}{}$ 



#### THE AUTHOR

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This publication replaces a draft user's guide distributed in 1981 (Moeur and Scharosch 1981). Enhancements to the early version of the COVER and BROWSE programs are described herein. In addition, there are changes to several of the keyword names and formats linking the COVER extension to Version 5.0 of the Prognosis Model, as reported in the Prognosis Model User's Guide (Wykoff and others 1982):

<b>Progno</b> sis Keyword	Model User's Guide	COVER Ext Keyword	ension User's Guide
		COVER	Invoke the COVER extension (for either canopy or shrubs). field 1: Cycle to begin COVER predictions. field 2: Dataset reference number for output.
COVER	Invoke the COVER option in the shrub and cover extension. field 1: Method to be used to compute foliage biomass.	CANOPY	Compute crown cover statistics.
SHRUB	Invoke the BROWSE option of the shrub and cover extension. field 1: Number of years since stand was regenerated. field 2: Number of years shrub output will be printed. field 3: Habitat type code for processing SHRUB option.	SHRUBS	Compute shrub statistics. field 1: Number of years since stand disturbance. field 2: Habitat type code for processing SHRUBS option. field 3: Physiographic type code. field 4: Disturbance type code.

#### **ACKNOWLEDGMENTS**

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I am grateful to Dr. E. O. Garton, University of Idaho, for providing the data used to fit crown shape models. Special thanks also to Nezperce National Forest personnel George Bain and Susan Wise, Salmon River Ranger District silviculturist and wildlife biologist, respectively, for supplying stand data, silvicultural prescriptions, and advice in preparing the wildlife examples.

## RESEARCH SUMMARY

The COVER extension to the Stand Prognosis Model predicts tree canopy closure, crown volume, crown profile area. and foliage blomass within vertical height classes, and the probability of occurrence, height, and cover of shrubs in forest stands. The model may be used to produce a descriptive summary of a stand at the time of inventory, or to project overstory and understory characteristics through time for natural and managed stands. This paper documents use of the COVER program, an adjunct to Version 5.0 of the Prognosis Model. Preparation of input, interpretation of output, program control, and model characteristics are described. Potential applications of COVER estimates to wildlife, hydrology, and insect pest modeling are presented.

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# COVER: A User's Guide to the CANOPY and SHRUBS Extension of the Stand Prognosis Model

Melinda Moeur

#### INTRODUCTION

Forest managers in the Northern Rocky Mountains have extensively used the Prognosis Model for Stand Development (Stage 1973) to summarize current stand conditions, and to predict the future path of stand growth and the likely consequences of alternative management practices on stand development. The use of stand models like Prognosis need not be restricted to timber management applications. For example, forest managers must also consider how management practices may change the suitability of a stand for wildlife habitat, the composition of the understory, or the sequence of succession. The computer model described in this paper, known as COVER, extends Prognosis by modeling the development of tree crowns and understory vegetation. COVER provides three types of information: a description of the amount of cover and foliage in the tree canopy by height class; the height and cover of shrubs, forbs, and grasses in the understory; and a summary of overstory and understory cover and biomass for the stand.

COVER offers two options that can be run separately or together: CANOPY controls predictions of values related to tree crowns; SHRUBS controls predictions of understory characteristics. Possible applications of the COVER model include examining the likely effects of silvicultural treatments on:

- forest stand characteristics important to wildlife, such as thermal cover and hiding cover, browse production, and the interactions of shrubs and trees that determine vertical and horizontal stand structure.
- dynamics of the shrub community affecting stand succession and competition with regeneration.
- vertical crown form and foliage distribution important to feeding and dispersal patterns of insect pests, such as the western spruce budworm.
- canopy cover and ground cover development which affect the hydrologic characteristics of a stand following harvest.

This manual is intended to be a companion publication to the Prognosis Model User's Guide (Wykoff and others 1982). The research described here is based on the

idea that management prescriptions which affect nonvegetation ecosystem components can be compared and evaluated by examining simulated changes in the major vegetation components. Wykoff and others (1982) have summed up this philosophy, which guides our research:

Consequences for streamflow from the forest, for wildlife populations, and for pest populations that inhabit the forest, as well as the capability of the forest to yield timber or provide recreation—all depend on how the dominant vegetation changes and is changed. Unfortunately, yield forecasts have traditionally emphasized the merchantable harvest that might be obtained, either immediately or as a sequence of yields obtainable at intervals of time into the future. Volumes of merchantable timber have been the most common units of measure because timber products have usually been the primary reason for investment. As other uses for the forest become more important, however, growth forecasts need to be stated in more fundamental descriptions of the future forest stand. Too often, evaluation of tradeoffs among conflicting activities or objectives for use of forest resources has been hampered by lack of sensitivity of the forecasts to the interactions among ecosystem components. One objective for development of the Stand Prognosis Model is to so characterize stand dynamics that the model will provide a sensitive basis for representing interactions involving the tree species.

COVER can provide a detailed picture of the vegetative structure of a single stand through time under different silvicultural prescriptions. By linking to the Parallel Processing version of Prognosis (Crookston 1985), COVER can also model long-term, large-scale changes for groups of stands arranged in time and space. As such, it may be a useful tool for enhancing forest management decisions that concern nontimber ecosystem components. This publication begins with a description of the information produced by the model and instructions for making the program run. There follows a discussion of the biological behavior of the individual submodels and a final section dealing with potential applications.

# Range of Predictions

The models comprising COVER are parameterized with data collected in the Inland Northwest and Northern Rocky Mountain forests. The user should determine if the range of species and site conditions for which predictions are made are applicable to the local situation.

Submodels for the CANOPY option predict conifer crown width, crown shape, and foliage biomass. Equations for crown width and foliage biomass are derived from data on 370 trees on 14 sites in northern Idaho and western Montana (Moeur 1981; Brown 1978). Sampled stand basal area ranges from 1 to 426 ft²/acre. Open-grown trees and trees that were obviously damaged or heavily defoliated were not sampled. Data for the crown shape models are from 9,800 trees on 12 sites in western Montana, the Blue Mountains of eastern Oregon, and the University of Idaho forest near Moscow, ID (Langelier and Garton in press a).

The understory portion of COVER includes models that predict probability of occurrence, height, and cover of individual shrub, forb, grass, and fern species (Scharosch 1984; Laursen 1984). Understory data are from over 10,000 1/300-acre plots on 500 stands in Douglas-fir, grand fir, western redcedar, western hemlock, and subalpine fir habitat types. Stands were measured between 3 and 40 years following major stand disturbance. These data are from northern Idaho, northeastern Washington, and northwestern Montana (Colville,

Panhandle, Kootenai, Lolo, and Clearwater National Forests), to central and southern Idaho and northwestern Wyoming (Nezperce, Boise, Payette, and Targhee National Forests) (Ferguson and others [in press]). In addition, Irwin and Peek (1979) fit models for twig production and dormant season shrub biomass on a subset of the data (grand fir, cedar, and hemlock types).

Current data sources make the COVER predictions most applicable to the Northern Region (R-1), and portions of the Intermountain (R-4) and Pacific Northwest (R-6) Regions of the Forest Service. The user should exercise caution in extending predictions outside these geographic areas.

## Data Requirements

Information needed to run COVER consists of the minimum Prognosis Model input—the inventory design used to measure the stand, a list of sampled trees for which species, diameter, and plot identification have been recorded, and values for slope, aspect, elevation, habitat type, and forest location recorded on the STDINFO card (Wykoff and others 1982). If the SHRUBS option is used, time since stand disturbance, type of disturbance, and physiographic position are required.

Understory predictions are improved if field measurements of shrub height and cover are available for calibrating portions of the shrub models. These data are only supplementary, and both the CANOPY and SHRUBS options will execute without them. The keywords section discusses how to enter calibration information.

#### INFORMATION PRODUCED

The COVER program normally produces three displays—one describing the structure of tree crowns, another describing the composition of the understory, and a third summarizing overstory and understory cover and biomass. The user may insert keywords to turn off the printing of any of the displays.

As you proceed through this publication, the same stand used in the Prognosis Model User's Guide (Wykoff and others 1982) will be used to develop examples. The stand (S248112) is on the St. Joe National Forest. It is 57 years old at the inventory date, positioned on a northwest aspect, 30 percent midslope, at 3,400 feet of elevation, and is a *Tsuga heterophylla/Clintonia uniflora* habitat type. Values presented here result from the COVER extension combined with Version 5.0 of the Inland Empire Prognosis Model and Version 1.0 of the Regeneration Establishment Model (Ferguson and Crookston 1984). Four COVER extension keywords (COVER, CANOPY, SHRUBS, and END) inserted into the Prognosis Model runstream in figure 1 produce the example canopy and shrub displays.

# Canopy Cover Statistics Display

The Canopy Cover Statistics display is the first of the three COVER displays (fig. 2). It is produced when the CANOPY option is specified. To give the user a feel for the vertical profile of the conifer component of the stand, crown cover values are partitioned by 10-foot height classes.

Trees per acre.—The number of trees per acre whose total heights fall within a given height class.

Canopy closure.—The percentage of ground area covered by the projections of individual crowns of trees whose total heights fall within a given height class (fig. 3a).

Crown profile area.—The area in square feet per acre within vertical height classes occupied by crown profiles, represented by the sum of lateral areas of crown profile sections within height classes (fig. 3b). Crown profile area may be thought of as a foliage-height profile, or the view one would have if the stand were "squashed flat" in a vertical plane.

STDIDENT

S248112 PROGNOSIS WITH COVER EXTENSION - USER'S MANUAL EXAMPLE STAND COMMENT

THE PRESCRIPTION CALLS FOR IMMEDIATE REMOVAL OF EXCESS TREES, A COMMERCIAL THINNING AT AGE 90 TO REMOVE LODGEPOLE AND LARCH, A SHELTERWOOD REGENERATION TREATMENT AT AGE 120 FAVORING GRAND FIR AND DOUGLAS-FIR, AND AN OVERWOOD REMOVAL AT AGE 130.

	GLAS FIR, AND	AN OVE	KWOOD I	KENOVAL	AI AGE .	130.		
END					11 0		1 0	
DESIGN	10.0	F70	^	ra 0	11.0		1.0	24.0
STDINFO	18.0	570.	U	57.0	8.0		3.0	34.0
INVYEAR	1977.0							
NUMCYCLE	10.0	2 22	•					
THINPRSC	1980.0	0.99						
SPECPREF	2010.0	2.		999.0				
SPECPREF	2010.0	7.		999.0				
THINBTA	2010.0	157.						
SPECPREF	2040.0	3.		999.0				
SPECPREF	2040.0	4.		-99.0				
THINBTA	2040.0	35.	0					
ESTAB	2037.0							
END								
COVER								
CANOPY								
SHRUBS	57.0	570.	0	3.				
END								
TREEDATA	5.0							
1	248112	0101	011LP	11510	0734	00111	0	0
2	248112	0101	031DF	001	0026	00222	0	0
3	248112	0102	011WH	06523	0308	00111	0	0
4	248112	0102	011L	07906	0753	00111	0	0
5	248112	0102	016L	346		10322	0	0
6	248112	0103	011L	08007	0633	73222	0	56
7	248112	0103	011GF	06220	0385	00111	0	0
8	248112	0103	011L	084	54	00111	0	0
9	248112	0103	011LP	09511	0603	00111	0	0
10	248112	0104	011DF	040	0203	00111	50	0
11	248112	0104	011L	08212	0655	50111	0	0
12	248112	0105	011DF	012	0116	00222	42	0
13	248112	0105	011DF	019	0135	00222	47	0
14	248112	0105	015LP	072		11322	0	0
15	248112	0105	031GF	001	0037	00222	0	0
16	248112	0105	011GF	05309	0277	00111	0	0
17	248112	0106	011DF	10010	0654	00111	0	0
18	248112	0106	011GF	06112	0388	00111	0	0
19	248112	0106	011DF	12716	0674	00111	0	0
20	248112	0107				800		
21	248112	0108	011LP	09605	0603	00222	0	0
22	248112	0108	011DF	10409	0555	74222	0	49
23	248112	0108	011LP	085	03	00111	0	0
24	248112	0109	011GF	10910	0657	00111	0	0
25	248112	0109	011DF	09418	0604	00111	0	0
26	248112	0110	011C	03206	0175	00222	32	0
27	248112	0110	011C	001	0027	00222	0	0
28	248112	0110	011C	05810	0287	00111	0	0
29	248112	0110	011C	05010	0253	00111	37	0
30	248112	0111	011GF	06614	0307	00111	0	0
31		-999						
PROCESS								
STOP								

Figure 1.—Keyword and tree record file used to project stand S248112 using the combined Prognosis Model and COVER extension.

STAND GROWIH PROGNOSIS SYSTEM

VERSION 5.0 -- INLAND EMPIRE

PROGNOSIS WITH COVER EXTENSION - USER'S MANUAL EXAMPLE STAND MANAGEMENT CODE: NONE

\$248112

STAND ID:

CANOPY COVER STATISTICS

ATTRIBUTE BY 10' HEIGHT CLASS

TREES -- TREES PER ACRE
COVER -- PERCENTAGE OF CANOPY CLOSURE CONTRIBUTED BY TREES IN HEIGHT CLASS
AREA -- CROWN PROFILE AREA (SQ.FT. PER ACRE)
VOLUME -- CROWN VOLUME (CU.FT. PER ACRE X 100)
BIOMASS -- FOLIAGE BIOMASS (LBS. PER ACRE)

TOTAL	590 84 34173 2130 6742	264 69 28416 1811 6066	244 65 36897 2719 8493	224 76 41946 3917 10418	204 85 48423 5198 12171
150.1+	00000	00000	00000	00000	00000
140.1-	00000	00000	00000	00000	00000
130.1-	00000	00000	00000	00000	00000
120.1-	00000	00000	00000	00000	00000
110.1-	00000	00000	00000	00000	00000
100.1- 110.0 <sup>†</sup>	00000	00000	00000	00000	43 1 1 1
90.1-	00000	00000	00000	9 1714 8	33 10 790 47 56
CLASS 80.1- 90.01	00000	00000	11 1 239 10 10	34 10 1141 66 80	5 1 1642 163 340
HEIGHT 70.1-80.0	17 2 334 13 16	17 2 334 13 16	37 2025 118 221	15 2 2163 186 391	16 5 2665 290 784
STAND 60.1- 70.01	29 7 2038 116 210	29 7 2038 116 210	17 2 4401 316 754	2 1 3080 292 802	42 26 2175 171 442
50.1- 60.01	41 6 5480 347 886	27 3 4730 305 768	0 0 3928 258 614	47 21 2069 167 483	53 26 5163 351 868
40.1- 50.0'	0 0 0 4209 277 622	3204 194 149	60 20 1661 89 237	78 32 5356 354 966	30 12 8574 721 1694
30.1- 40.01	39 7 2070 123 338	39 7 1478 77 251	83 25 4990 271 943	31 7 9158 682 1898	14 3 11483 1144 2936
20.1-	111 26 5536 272 1254	111 26 5536 272 1254	25 4 10040 668 2460	2 11698 1185 3384	6 1 12374 1671 3894
10.1- 20.0	131 14 11868 788 2823	30 3 9871 681 2635	8 3 9462 966 3194	6 2 7105 978 2408	0 3513 638 1155
0.0-	220 22 2639 195 593	21 21 1225 1225 153 483	2 0 152 22 22 61	00000	0000
YEAR	1977 COVER AREA VOLUME BIOMASS	1977: POST-THIN TREES COVER AREA 12 VOLUME 1 BIOMASS 4	1987 TREES COVER AREA VOLUME BIOMASS	1997 TREES COVER AREA VOLUME BIOMASS	2007 TREES COVER AREA VOLUME BIOMASS

Figure 2.—The canopy cover statistics display is produced when the CANOPY keyword is present in the runstream.

5

157 78 43172 4852 11623	147 87 87 53821 6817 13312	136 91 58704 7965 13937	126 94 63051 9186 14381	35 14 8692 1292 2933	2261 29 13991 1744 5788	3090 55 25095 2357 7551	2421 85 40530 3222 8950	1921 111 54662 4142 10728
00000	00000	00000	00000	00000	00000	20000	00000	0000
00000	00000	00000	00000	00000	00000	00000	25 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	67
00000	00000	00000	90000	00000	000	3 34 0 1	4 107 12	15 10 217 21 63
00000	00000	00000	0021111	00223	6 7 7 7 8	15 8 162 17 62	12 7 275 26 78	2 3 522 84 270
00000	00000	22 0 1	12 133 17 54	12 119 16 53	12 6 194 25 109	2 441 79 295	2 588 88 289	4 925 166 530
00-00	12 6 59 13 48	15 160 10 30	10 7 456 82 258	5 407 77 254	2 474 84 349	1 2 827 155 577	9 2 1027 181 615	5 1430 273 865
20 7 69 8 8	9 309 59 208	13 9 455 72 238	27 23 1313 184 544	3 859 159 506	5 2 884 162 667	9 1315 244 901	1606 304 1081	0 1686 287 862
2 0 392 71 253	7 704 132 461	22 21 1683 201 608	31 30 3813 341 724	5 1 1187 160 450	5 1 1364 239 984	1 0 1477 227 830	0 0 1856 320 1095	0 1720 260 698
8 4 858 157 560	32 26 1651 164 469	43 33 4863 461 1081	15 13 6992 684 1108	7 1308 147 344	1 0 1492 214 796	0 0 1535 202 720	0 1593 226 594	0 1793 289 681
42 26 1440 129 395	52 33 5393 421 872	19 13 7725 759 1372	13 9 9643 1160 1781	1 0 1537 204 410	0 1434 181 531	0 1709 252 793	0 1828 295 755	0 1893 344 773
50 26 5090 348 852	16 11 8526 795 1463	20 7 10210 1148 1956	15 5 11677 1593 2525	0 0 1418 213 388	0 1623 238 677	0 1772 296 885	0 0 1883 346 847	0 1898 385 842
26 11 8485 718 1682	19 5 11036 1197 2278	0 0 12670 1624 3032	0 13303 2077 3489	0 0 976 155 269	0 0 1504 251 688	0 0 1558 290 837	0 1479 292 679	5 1244 242 503
9 2 11212 1131 2869	0 13226 1763 3588	0 12536 1993 3257	0 0 11071 2020 2871	0 722 129 220	0 0 972 176 475	0 0 967 192 506	0 0 937 199 431	45 6 1500 220 425
0 12119 1651 3831	0 11392 1934 3515	0 8324 1682 2354	0 0 4645 1029 1028	0 0 153 32 32	0 0 575 117 282	0 0 540 117 273	91 7 1260 153 338	249 22 6011 334 925
0 3508 638 1154	0 0 1526 339 410	0 0 55 14 10	00000	00000	37 22 45 44 11	185 9 1347 38 101	603 31 8596 303 760	593 38 17017 722 1703
1 THIN 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	00000	00000	00000	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	2192 11 3380 51 209	2873 28 11411 248 771	1696 26 17479 484 1377	997 23 16734 514 1584
2007; POST- TREES COVER ARLA VOLUME BLOMASS	2017 TREES COVER AREA VOLUME BLOMASS	2027 TREES COVER AREA VOLUME BLOMASS	2037 TREES COVER AREA VOLUME BLOMASS	2037: POST- TRFES COVER AREA VOLUME BIOMASS	2047 IRELS COVER AREA VOLUME BLOMASS	2057 TREES COVER AREA VOLUME BLOMASS	2067 TREES COVER AREA VOLUME BLOMASS	2077 TREES COVER AREA VOLUME BIOMASS

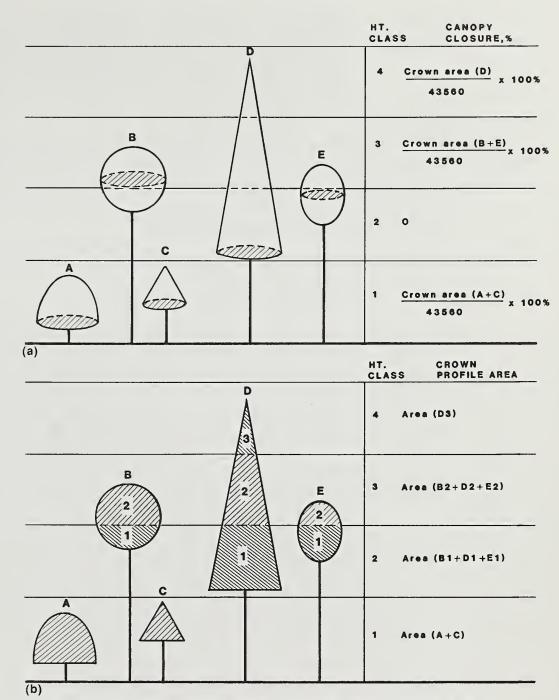


Figure 3.—Canopy structure computations in the CANOPY option of COVER: (a) canopy closure by 10-ft height class; (b) crown profile area by 10-ft height class.

Crown volume.—The volume in cubic feet per acre of tree crowns within height classes. Volume is determined using integration of standard volume formulas for conical, spherical, parabolic, elliptic, and neiloid crown forms.

Foliage biomass.—The biomass of foliage in pounds per acre in the stand, represented by the sum of foliage in individual crown sections within height classes.

# Shrub Statistics Display

The second COVER display, shrub statistics by species (fig. 4) is produced when the SHRUBS keyword is present in the runstream. Predictions are made for each of 31 species or species groups listed in table 1. Nine species with the greatest predicted cover in the stand are always displayed: three each within low (less than 1.7 ft), medium (1.7-7 ft), and tall (7 ft and greater) height classes (Patterson and others 1985).

Cover.—The percentage of area covered by the canopy of an individual species projected vertically onto the ground. Species cover is weighted by probability of occurrence.

Height.—Average height of the species, in feet.

**Probability** of occurrence.—The proportion (expressed as a percentage) of 1/300-acre plots in the described stand that contain the indicated species.

The remaining species within each height class are combined into an "other" category. For these, cover is the sum of other species cover weighted by their probabilities, height is their average height weighted by species cover, and probability is the sum of their individual probabilities. In addition, the user may specify up to six species which will always be displayed, using the SHOWSHRB keyword card and supplemental data record.

Shrub values are computed only between 3 and 40 years following stand disturbance (the lower and upper limits of the range of data used to model shrub production). In the course of a projection, if a thinning causes canopy closure to fall below 50 percent, and if the thinned volume is at least 20 percent of the volume before thinning, then time since stand disturbance is reset to 3 years and shrub computations resume. In the example simulation, time since disturbance was incremented from initial stand age of 57 years until the overstory removal in year 2037. Neither the thinning in 1977 nor the one in 2007 opened the canopy enough to trigger shrub calculations in the program. The overwood cut in 2037 reduced crown closure from 98 percent to 14 percent, causing the shrub response calculations to begin.

MANAGEMENT GODE: NONE

PROGNOSIS WITH GOVER EXTENSION - USER'S MANUAL EXAMPLE STAND

#### 

#### LOW SPECIES (0-1.7 FT)

ARUV:ARCTOSTAPHYLOS UVA-URST BERB:BERBERIS SPP. LIBO:LINNAEA BOREALIS PAMY:PACHISTIMA MYRSINITES SPBE:SPIRAEA BETULIFOLIA VASC:VALCINIUM SCOPARIUM CARX:CAREX SPP.

#### MEDIUM SPECIES (1.7-7 FT)

LONI:LONICERA SPP.
MEFE:MENZIESIA FERRUGINEA
PHMA:PHYSOCARPUS MALVACEUS
RIBE:RIBES SPP.
ROSA:ROSA SPP.
RUPA:RUBUS PARVIFLORUS
SHCA:SHEPHERDIA CANADENSIS
SYMP:SYMPHORICARPOS SPP.
VAME:VACCINIUM MEMBRANACEUM
XETE:XEROPHYLLUM TENAX
FERN:FERNS
COMB:OTHER SHRUBS COMBINEO

#### TALL SPECIES (7+ FT)

ACGL: ACER GLABRUM
ALSI: ALNUS SINUATA
AMAL: AMELANCHIER ALNIFOLIA
CESA: CEANOTHUS SANGUINEUS
CEVE: CEANOTHUS VELUTINUS
COST: CORNUS STOLONIFERA
HODI: HOLODISCUS DISCOLOR
PREM: PRUNUS EMARGINATA
PRVI: PRUNUS VIRGINIANA
SALX: SALIX SPP.
SAMB: SAMBUCUS SPP.
SORB: SORBUS SPP.

# ATTRIBUTES OF THE FIRST THREE SPECIES WITH GREATEST COVER IN EACH HEIGHT GROUP (ALL OTHERS WITHIN GROUP COMBINED INTO CATEGORY "OTHR")

COVER -- SPECIES COVER
HEIGHT -- AVERAGE SPECIES HEIGHT (FEET)
PROB -- SPECIES PROBABILITY OF OCCURRENCE

YEAR			LOW S	PECIES			M	EDIUM	SPECIES	S		TALL	SPECI	ES
1977	:	TIME S	INCE DI	STURBA	NCE=	57.	EXCE	EOS 40	YEARS	. SHRUB	STATI	STICS	NOT	COMPUTED.
1977 POST-THIN	: 1	TIME S	INCE DI	STURBA	NCE=	57.	EXCE	EDS 40	YEARS	. SHRUB	STATI	STICS	NOT	сомритео.
1987	: 7	TIME S	INCE DI	STURBA	NCE:=	67.	EXCE	EDS 40	YEARS	. SHRUB	STATI	STICS	NOT	COMPUTED.
1997	: 7	TIME S	INCE DI	STURBA	NCE=	77.	EXCE	EDS 40	YEARS	. SHRUB	STATI	STICS	NOT	COMPUTED.
2007	: 7	TIME S	INCE OI	STURBA	NCE=	87.	EXCE	EDS 40	YEARS	. SHRUB	STATI	STICS	NOT	COMPUTED.
2007 POST-THIN	: 7	TIME S	INCE DI	STURBA	NCE=	87.	EXCE	EDS 40	YEARS.	. SHRUB	STATI	STICS	NOT (	сомритео.
2017	: 1	TIME S	INCE DI	STURBA	NCF=	97.	EXCE	EDS 40	YEARS.	. SHRUB	STATI	STICS	NOT	COMPUTED.
2027	: 1	TIME S	INCE DI	STURBA	NCE=	107.	EXCE	EOS 40	YEARS.	SHRUB	STATI	STICS	NOT	COMPUTED.
2037	: 1	TIME SI	INCF DI	STURBA	NCE=	117.	EXCE	EDS 40	YEARS.	SHRUB	STATI	STICS	NOT	COMPUTEO.
2037: POST-THIN SPECIES COVER HEIGHT PROB		LIB0 7.5 0.5 27.7	SPBE 2.3 1.8 9.6	PAMY 0.7 1.5 5.1	OTHR 0.0 0.7 0.0		VAME 13.2 2.4 50.3	FERN 4.9 2.1 25.2	RUPA 4.3 2.3 26.9	OTHR 10.5 2.9 71.7	ACGL 11.7 8.3 39.0	COST 0.8 5.5 2.2	AMAI 0.6 5.5	0.8 5 4.7
2047 SPECIES COVER HEIGHT PROB		LIB0 8.9 0.5 31.3	PAMY 5.8 1.7 34.2	SPBE 2.2 1.8 8.6	OTHR 0.1 0.7 0.4		VAME 8.7 2.4 37.9	RUPA 4.1 2.4 22.6	LONI 2.5 3.1 16.4	OTHR 7.5 2.8 42.8	ACGL 4.9 8.8 15.5	SALX 1.0 8.9 5.2	AMA 0.9 6.0 5.9	9 2.0 5 5.9
2057 SPECIES COVER HEIGHT PROB		LIB0 9.9 0.5 32.9	PAMY 7.3 1.6 41.4	SPBE 2.1 1.8 7.9	OTHR 0.1 0.7 0.6		VAME 6.6 2.3 33.9	RUPA 3.1 2.3 18.3	LONI 2.1 3.0 14.5	OTHR 5.7 2.8 34.6	ACGL 3.0 8.3 10.9	SALX 1.3 9.2 7.7	AMA 0.9 6.9 5.8	9 1.5 5 6.1
2067 SPECIES COVER HEIGHT PROB		LIB0 10.7 0.5 33.4	PAMY 6.8 1.5 39.2	SPBE 1.8 1.7 6.9	OTHR 0.2 0.7 0.8		VAME 4.8 2.3 30.3	RUPA 2.1 2.2 13.7	LONI 1.5 2.8 11.8	OTHR 4.3 2.7 28.8	ACGL 1.8 7.3 8.1	SALX 1.2 8.7 8.0	AMAI 0.7 5.0	7 0.9 7 6.0
2077 SPECIES COVER HEIGHT PROB Figure 4.—The sh	rub :	LIB0 11.5 0.5 33.9 statistic	PAMY 5.7 1.4 34.2 cs displa	SPBE 1.5 1.6 5.9 ay is pro	OTHR 0.2 0.7 1.1 oduce		VAME 3.4 2.2 26.8 en the	RUPA 1.2 2.0 9.6 SHRUE	ROSA 1.1 2.8 10.1 8S keyw	OTHR 3.1 2.5 23.0 Pord is pre	ACGL 1.0 6.3 6.0 esent in	SALX 1.0 7.7 7.6 1 the ru	AMAI 0.5 4.8 4.0	0.5 3 5.9 4 7

Table 1.—Understory species for which predictions are currently made in the SHRUBS portion of the COVER program, height class, and source of information

Code	Scientific name	Common name	Height class <sup>1</sup>	Source <sup>2</sup>
ACGL	Acer glabrum	Rocky Mountain maple	Т	a, b
ALSI	Alnus sinuata	Sitka alder	Т	а
AMAL	Amelanchier alnifolia	Serviceberry	Т	a, b
ARUV	Arctostaphylos uva-ursi	Kinnikinnick	L	а
BERB	Berberis spp.	Oregon grape	L	а
CARX	Carex spp.	Sedge	L	а
CESA	Ceanothus sanguineus	Redstem ceanothus	T	a, b
CEVE	Ceanothus velutinus	Shinyleaf ceanothus	Т	a, b
COST	Cornus stolonifera	Red-osier dogwood	Т	a
FERN	Athyrium filix-femina	Fern	M	а
	Pteridium aquilinum			
HODI	Holodiscus discolor	Ocean-spray	Т	a, b
LIBO	Linnaea borealis	Twinflower	L	a
LONI	Lonicera spp.	Honeysuckle	Μ	a, b
MEFE	Menziesia ferruginea	Menziesia	Μ	a
PAMY	Pachistima myrsinites	Pachistima	Ë	a, b
РНМА	Physocarpus malvaceus	Ninebark	M	a, b
PREM	Prunus emarginata	Bittercherry	T	a, b
PRVI	Prunus virginiana	Common chokecherry	Ť	a
RIBE	Ribes spp.	Currant	M	a, b
ROSA	Rosa spp.	Rose	M	a, b
RUPA	Rubus parviflorus	Thimbleberry	M	a, b a, b
SALX	Salix spp.	Willow	T	a, b
SAMB	Sambucus spp.	Elderberry	, T	a, b
SHCA	Shepherdia canadensis	Russett buffaloberry	M	a
SORB		Mountain-ash	T	a
	Sorbus spp.		Ĺ	-
SPBE SYMP	Spiraea betulifolia	Shinyleaf spiraea		a, b
VAME	Symphoricarpos spp.	Snowberry	M M	a, b
VAIVIE	Vaccinium membranaceum	Big huckleberry	IVI	a, b
VACC	Vaccinium globulare	Globe huckleberry		
VASC	Vaccinium scoparium	Grouse whortleberry	L	a
XETE	Xerophyllum tenax	Common beargrass	M	а
сомв		Other shrubs combined	Μ	а
	Artemisia tridentata	Prunus pensylvanica		
	Clematis columbiana	Purshia tridentata		
	Cornus nuttallii	Rhamnus purshiana		
	Crataegus douglasii	Rhododendron albiflorum		
	Juniperus spp.	Rhus trilobata		
	Ledum glandulosum	Rubus leucodermis		
	Lonicera caerulea	Rubus ursinus		
	Lonicera involucrata	Spiraea pyramidata		
	Oplopanax horridum	Taxus brevifolia		
	Philadelphus lewisii	Vaccinium caespitosum		

 <sup>&</sup>lt;sup>1</sup>T = tall, M = medium, L = low (from Patterson and others 1985).
 <sup>2</sup>a: Probability of occurrence from Scharosch (1984).
 Height and percent cover from Laursen (1984).
 b: Twig production and dormant season biomass (ABGR/CLUN, THPL/CLUN, TSHE/CLUN habitat types only) from Irwin and Peek (1979).

# Canopy and Shrubs Summary Display

The third display is the Canopy and Shrubs Summary display (fig. 5). Understory attributes:

Time since disturbance.—Time in years since the stand has been entered for harvest or site preparation. At the start of a projection, this value is set equal either to stand age or to the value entered on the SHRUBS keyword record, and is incremented by the length of each cycle. A thinning which reduces canopy closure below 50 percent and accounts for at least 20 percent of the prethinning volume causes time since disturbance to be reset to 3 years. See the previous section for more explanation.

Probability of shrub cover being greater than zero.—The proportion (expressed as a percentage) of 1/300-acre plots in the described stand that contain any shrub cover.

Shrub cover.—Sum of individual percentage cover, weighted by probability, for all species in low (0-1.7 ft), medium (1.7-7 ft), and tall (over 7 ft) height classes, and total shrub cover.

Average shrub height.—Average height in feet of all species, weighted by predicted species cover.

Dormant shrub biomass.—Total dormant season aboveground shrub biomass in pounds per acre.

Twig production.—Current year's number of twigs per square foot. Shrub biomass and twig production are only computed for ABGR/CLUN, THPL/CLUN, and TSHE/CLUN habitat types (codes 520, 530, and 570).

Stand successional stage code.—A vegetation life form classification described by Peterson (1982), which provides a basis for relating wildlife use to the shrub and conifer structure of the stand.

Overstory attributes:

Stand age.—Overstory age in years, entered on the STDINFO card and incremented by the length of each projection cycle. The value of stand age will change during the projection if RESETAGE, a regeneration establishment model keyword (Ferguson and Crookston 1984), is used.

Top height.—Current average height in feet of the largest 40 trees per acre by d.b.h.

Canopy closure.—Total percentage canopy closure.

Crown foliage biomass.—Total foliage biomass in pounds per acre.

Sum of stem diameters.—The sum of stem diameters at breast height for all trees in the stand, in feet. This quantity may be used to compute stem area available for hiding cover by multiplying by height value of interest (for example, average shoulder height of an elk).

Number of stems.—Total number of trees per acre.

Shrub-small conifer competition:

If the SHRUBS option is in effect, a display similar in format to the Canopy Cover table is produced. It expands the resolution of the first 20 ft of the stand, and displays both shrub cover and number of trees cumulatively by height.

Shrub cover.—Total cover of shrubs whose predicted heights are greater than the current height.

Number of trees.—Total number of trees per acre whose heights are greater than the current height.

STAND GROWTH PROGNOSIS SYSTEM

VERSION 5.0 -- INLAND EMPIRE

PROGNOSIS WITH COVER EXTENSION - USER'S MANUAL EXAMPLE STAND MANAGEMENT CODE: NONE \$248112

STAND ID:

THE   PROB.   COVERNING COVERNING   COVERNING COVERNING COVERNING   COVERNING COVERNING COVERNING   COVERNING	THIN BY THE PROBLESTORY ALTRIBUTES				1: RECEI 2: LOW 3 3: MED10 4: TALL 5: TALL	RECENT DISTULOW SHRUB MEDIUM SHRUB MEL SHRUB W TALL SHRUB W	- DEFINITIONS NT DISTURBANC SHRUB UM SHRUB SHRUB WITH N	ZZ	00	IONAL	TAGE COC 6: TALL 7: SAPL1 8: POLE 9: MATUR 0: OLD-G	STAGE CODES USED IN O 6: TALL SHRUB WITH M 7: SAPLING TIMBER 8: POLE TIMBER 9: MATURE TIMBER 10: OLD-GROWTH TIMBER			CONIFERS			
STINCE	STANCE CONTROL   CONTROL	— (	ME	PROB.	- 1	NDERST -SHRUB	ORY A	- 1	AVG.	DORMANT	0.0173.1	COLO		-	OVERSTORY CANOPY	ATTRIBUTE FOLLAGE	SUM OF	NIMBER
-THIN 57  -THIN 57  -THIN 57  -THIN 33 14 57 48 63 84 6742 185  -THIN 3 78 11 33 14 57 3.5 388 2.3 6 127 89 6966 127 195  -THIN 3 78 11 33 14 2.7 607 0.3 6 127 89 293 50  -THIN 3 69 19 13 5 37 2.3 6 117 100 14 2933 50  -THIN 3 8950 29 3 31 1.8 101 0.0 7 117 101 85 8950 265 2	-THIN 57 64 66 66 147 66 6066 147 66 6066 147 66 6066 147 66 6066 147 66 6066 147 66 6066 147 66 6066 147 66 6066 147 66 6066 147 66 6066 147 66 6066 147 66 6066 147 60 6066 147 60 60 60 60 60 60 60 60 60 60 60 60 60		INCE ISTURB. (EARS)	(SHKUB COV>()) (%)	(%) MOT	MED 1		01AL (%)	HEIGHT (FEET)	BIOMASS (LB/AC)	(NO./ SQFT)	STAGE CODE	AGE (YRS)	HEIGHT (FEET)	CLOSURF (%)	!	DIAMS. (FEET)	STEMS
	SHRUB-SMALL CONIFER COMPETITION	1977 1977 1987 1997 2007 2017 2017 2037 POST-THIN 2047 2057	57 67 67 77 10 10 11 11 11 10 40	788 75 75 58	17 19 19 19 19	233 733 933	14 7 7 9 8 3 5 7 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	57 48 44 31		388 876 607 272 101	0.00 % % % % % % % % % % % % % % % % % %	9990-	577 677 767 768 767 767 767 767 767 767 7	63 64 76 77 77 77 84 92 100 101 101	84 669 765 765 765 765 765 765 765 765 765 765	6742 6066 8493 10418 12171 13312 13337 14381 2933 5788 7551 8950	185 147 186 1986 1986 170 170 170 120 265 325	590 264 264 204 157 147 147 136 308 308 126 308 126 126 126 126

							GHT (FE	ET)				
YEAR		0.0	0.5		2.0		3.0 4.0 5	5.0		10.0	15.0	20.0
POST-THIN :	POST-THIN : SHRUB COVER TREES/ACRE	57	50	50	46	i	14 35	13 35	12 35	35		
2047	SHRUB COVER TREFS/ACRE	48 2261	39	39	31	14 531	297	9 209	100	69	32	32
2057	SHRUB COVER TREES/ACRE	44 3090	34 3090	34 2433	23 1936	11 1486	1191	7 846	4 381	217	η 7.4	32
2067	SHRUB COVER TREES/ACRE	37 2421	26 2421	26 2421	17	1973	1647	4 1411	1 984	0 726	286	123
2077	SHRUB COVER TRFFS/ACRE	31	1921	1921	10	4 1890	3 1803	2 1663	1 1304	923	9551	330

Figure 5.—Canopy and shrubs summary display.

#### PROGRAM ORGANIZATION

Each projection cycle in the Prognosis Model starts with simulated thinnings if thinning activities have been scheduled for that cycle. If either the CANOPY or SHRUBS option has been selected, crown and shrub statistics are computed and displayed following the thinning. Next, diameter and height growth, change in crown ratio, and mortality rate are computed for each tree record in the inventory by the main program. The tree attributes are updated at the end of the cycle and then crown and shrub statistics are computed once again. Thus, in thinning cycles, COVER values are computed and displayed twice. In projection cycles with no thinning, there is only one call to the COVER extension at the end of the cycle.

Crown cover and shrub predictions are displayed following a thinning to show the immediate effects of treatment on cover development. Predictions and display for crowns and for shrubs are handled somewhat differently in the post-thin sequence. In the CANOPY subprogram, the crown models use prethin levels of stand density statistics (trees per acre, basal area, and relative diameter) to predict crown dimensions on remaining trees. This is done because no immediate response in crown dimension or foliage on residual trees to a change in stand density would be expected. In the SHRUBS subprogram, predictions are made using postthin stand density statistics to reflect the more rapid response of understory plants that one would expect following an opening up of the canopy.

The COVER extension may be used in conjunction with ESTAB, a Prognosis Model extension that simulates regeneration and subsequent development of a regenerated stand (Ferguson and Crookston 1984). Inside the combined COVER, ESTAB, and Prognosis Models, shrub values are computed prior to the establishment of new trees. Although presently ESTAB does not include effects of shrub development on the establishment of new trees, the computation sequence has been set up in anticipation of feedback between the shrubs and regeneration systems. For now, the shrub-small conifer display in the Summary table may help the user examine effects of shrub competition on newly established trees.

Shrub statistics (probability of occurrence, height, cover, biomass, and twig production) are not computed if time since disturbance exceeds 40 years. If the COVER extension is used in conjunction with the regeneration establishment model, and stand age is reset to a value less than 40 years using the RESETAGE keyword, shrub calculations will resume. Also, as discussed previously, shrub statistics will resume beginning with the postthin predictions for a cycle in which a heavy thinning takes place. Canopy cover statistics are displayed regardless of the value for time since disturbance.

#### KEYWORD DESCRIPTIONS

The keyword system used in the COVER extension is similar to that used for the Prognosis Model. Presently, 13 keywords are used to invoke the CANOPY or SHRUBS options, supply needed information to the extension, or modify its output. The position of these cards in the Prognosis Model deck is unimportant except that they should be in a group beginning with the COVER keyword and ending with the END keyword. Like all other keywords, they must precede the PROCESS card. Formatting of keyword records follows the scheme used for all other Prognosis Model keywords. Columns 1 to 10 are reserved for the keyword itself, followed by seven parameter fields of 10 columns each. Numeric data

should be right-justified within the parameter field, or include a decimal point. Three of the keyword records in the SHRUBS option are followed by supplemental data records. The END record signifies the end of keywords for the extension and returns control to the main program. The appendix summarizes keyword records available in the COVER option.

# Calling the Extension

#### **COVER** Keyword

The COVER keyword record signifies the beginning of keywords for the extension. It has two parameter fields.

COVER field 1: Cycle number in which COVER calculations begin; default = beginning of projection. COVER calculations will be performed in all cycles subsequent to the specified one.

field 2: Dataset reference number for COVER output; default = 18.

# **Overstory Options**

## **CANOPY** Keyword

The CANOPY keyword invokes the crown cover options of the extension, which compute crown width, shape, and foliage biomass for each tree record, and tree cover summary statistics for the stand. It has no parameter fields associated with it.

## **Understory Options**

### SHRUBS Keyword

The SHRUBS keyword tells the program to compute shrub statistics. It has four parameter fields.

SHRUBS field 1: Time in years since stand disturbance; default = stand age. If stand age is not supplied, default = 3 years.

field 2: Habitat type code selected for processing shrub options.

Table 2 lists habitat types for which shrub predictions are made; default = stand habitat type code.

field 3: Physiographic type code. 1 = bottom, 2 = lower slope, 3 = midslope, 4 = upper slope, 5 = ridge; default = 2.

field 4: Disturbance type code. 1 = none, 2 = mechanical, 3 = burn, 4 = road; default = 1.

As indicated, each of the SHRUBS keyword parameters has a default value in the event that no value is supplied by the user. The value entered for time since disturbance should be the number of years since the stand was entered for harvest or site preparation. Time since disturbance will be set to stand age entered on the STDINFO keyword record if the user fails to supply a value on the SHRUBS keyword, and will be incremented by the length of each projection cycle. Although stand age is not used by the Prognosis Model to calculate tree growth, time since disturbance is a significant predictor of shrub development. As noted previously, shrub calculations are performed only if the current value of time since disturbance is between 3 and 40 years. Three situations may occur to reset time since disturbance. First, if a value less than 3 years is entered (or if the age fields on both the SHRUBS and STDINFO keywords are left blank), initial time since disturbance will be set to 3 years. Second, if a scheduled thinning causes canopy closure to fall below 50 percent, and if the volume removed is 20 percent or more of the volume before thinning, then disturbance time is reset to 3 years. Finally, if the Regeneration Establishment Model is being used, and stand age is reset to a value less than 40 years using

Table 2.—Valid habitat type codes for the SHRUBS option (from Pfister and others 1977; Steele and others 1981)

Abbreviations	Codes	Habitat types and phases
	Pse	udotsuga menziesii series
PSME/AGSP	210	Pseudotsuga menziesii/Agropyron spicatum
PSME/FEID	220	Pseudotsuga menziesii/Festuca idahoensis
PSME/PHMA	260	Pseudotsuga menziesii/Physocarpus malvaceus
PSME/SYAL	310	Pseudotsuga menziesii/Symphoricarpos albus
PSME/CARU	320	Pseudotsuga menziesii/Calamagrostis rubescens
PSME/CAGE	330	Pseudotsuga menziesii/Carex geyeri
PSME/SPBE	340	Pseudotsuga menziesii/Spiraea betulifolia
PSME/SYOR	380	Pseudotsuga menziesii/Symphoricarpos oreophilu
PSME/ACGL	390	Pseudotsuga menziesii/Acer glabrum
PSME/BERE	395	Pseudotsuga menziesii/Berberis repens
		Abies grandis series
ABGR/SPBE	505	Abies grandis/Spiraea betulifolia
ABGR/XETE	510	Abies grandis/Xerophyllum tenax
ABGR/COOC	511	Abies grandis/Coptis occidentalis
ABGR/VAGL	515	Abies grandis/Vaccinium globulare
ABGR/CLUN	520	Abies grandis/Clintonia uniflora
ABGR/ACGL	525	Abies grandis/Acer glabrum
ABGR/LIBO	590	Abies grandis/Linnaea borealis
		Thuja plicata series
THPL/CLUN	530	Thuja plicata/Clintonia uniflora
THPL/ATFI	540	Thuja plicata/Athyrium filix-femina
THPL/OPHO	550	Thuja plicata/Oplopanax horridum
	Ts	suga heterophylla series
TSHE/CLUN	570	Tsuga heterophylla/Clintonia uniflora
	A	Abies lasiocarpa series
ABLA/CLUN	620	Abies lasiocarpa/Clintonia uniflora
ABLA/STAM	635	Abies lasiocarpa/Streptopus amplexifolius
ABLA/ACGL	645	Abies lasiocarpa/Acer glabrum
ABLA/CAĊA	650	Abies lasiocarpa/Calamagrostis canadensis
ABLA/MEFE	670	Abies lasiocarpa/Menziesia ferruginea
ABLA/XETE	690	Abies lasiocarpa/Xerophyllum tenax
ABLA/SPBE	705	Abies lasiocarpa/Spiraea betulifolia
TSME/XETE	710	Tsuga mertensiana/Xerophyllum tenax
ABLA/VAGL	720	Abies lasiocarpa/Vaccinium globulare
ABLA/VAGL	721	Abies lasiocarpa/Vaccinium globulare, Vaccinium
		scoparium phase
ABLA/VASC	730	Abies lasiocarpa/Vaccinium scoparium
ABLA/CAGE	790	Abies lasiocarpa/Carex geyeri
ABLA/LUHI	830	Abies lasiocarpa/Luzula hitchcockii

the RESETAGE keyword, time since disturbance will also be reset to the same value.

Shrub statistics will be computed only if a valid habitat type code is encountered. Even if a code other than those listed in table 2 has been entered for the stand on the STDINFO record, the user may supply a separate habitat code that affects only the SHRUBS option. Thus shrub predictions may be made (at the user's discretion) by substituting a similar valid habitat type code. Allowing predictions to be made for similar habitat types assumes that, even though habitat type classification is based on unique potential climax vegetation, seral community development may not be unique and similar habitat types may respond with fairly similar shrub communities in the first 40 years following disturbance. If a substitute habitat type code is used, the program writes a cautionary message to that effect.

# Shrub Calibration Options

If shrub information has been recorded in the inventory, it may be used to adjust the embedded models to reflect unique variations in site and environment. Shrub height, cover, and occurrence models may be calibrated using either of two types of data collected according to Region 1 Stand Examination Procedures (USDA 1983). The two methods are to measure the height and average cover of up to three distinct shrub layers in the stand, or alternatively to measure the height and cover of individual species. When real shrub measurements are provided to the model, the information is used to scale predictions to match observed values. The scaling factors are computed only once, at the start of the first cycle, and are applied to the predictions for all cycles until a simulated thinning occurs. Because the course of shrub development may be expected to be altered following a thinning, the original information input for calibration may no longer be appropriate. Thus, once a thinning occurs, all calibration ceases and shrub predictions are no longer multiplied by the scaling factors.

#### SHRBLAYR Keyword

This keyword is one of two possible methods for providing field data with which to calibrate the shrub predictions. The SHRBLAYR keyword record contains six fields of 10 columns each for recording average height and percentage of ground cover value for each distinct shrub layer (up to three layers) in the understory. There is no inherent height or percentage of cover ranking of the layers; they may be entered in any order. The information is coded according to the following format:

SHRBLAYR field 1: average height of shrub layer 1, in feet

field 2: percentage of cover of shrub layer 1

field 3: average height of shrub layer 2, in feet

field 4: percentage of cover of shrub layer 2

field 5: average height of shrub layer 3, in feet

field 6: percentage of cover of shrub layer 3

The SHRBLAYR method of calibration sorts the shrub species by uncalibrated predicted height at the beginning of the projection. Progressing down through the species list from predicted tallest to shortest, the individual uncalibrated shrub cover predictions, weighted by probability of occurrence, are summed. When the sum of cover accounts for the same proportion as entered for the tallest shrub layer on the SHRBLAYR card, the summing ceases, and those species are grouped into a class. The process is repeated for each input layer. Once the classes are delineated, average predicted height and total cover for each layer are computed and compared to the entered values for the layers. Scaling factors are computed that adjust the predicted values to match the input calibration values. The scaling factors are applied individually to the cover and height predictions of each species within delineated classes.

The calibration values entered on the SHRBLAYR card and the computed scaling factors are displayed in the Shrub Model Calibration Statistics display (fig. 6). This display is printed immediately preceding the Shrub Statistics display if calibration is specified.

PREM PRVI SALX SAMB MANAGEMENT CODE: NONE

PROGNOSIS WITH COVER EXTENSION - SHRUB LAYER CALIBRATION

------ SHRUB MODEL CALIBRATION STATISTICS ------

CALIBRATION BY SHRUB LAYER (SHRBLAYR KEYWORD CARD):

AVI	ERAGE HEIGHT	(FEET)			AVERAGE P	ERCENT COVE	R
LAYER V	ALUES VAL	DICTED	SCALING FACTORS	SHRUB LAYER	OBSERVED VALUES	PREDICTED VALUES	SCALING FACTORS
1 2 3	6.0 3.0 1.0	5.4 2.3 0.9	1.10 1.31 1.11	1 2 3	10.0 20.0 20.0	8.2 17.1 17.2	1.21 1.17 1.16
SHRUB SPECIES	ASSIGNED LAYER	S	EIGHT CALING ACTOR	% COV SCALI FACTO	NG		
ARUV BERB LIBO PAMY SPBE VASC CARX LONI MEFE PHMA	3 3 3 2 3 3 2 1		1.11 1.11 1.11 1.11 1.31 1.11 1.31 1.10 1.10	1.1 1.1 1.1 1.1 1.1 1.1 1.1 1.1 1.2	6 6 7 6 6 7 1		
RIBE ROSA RUPA SHCA SYMP VAME XETE FERN COMB	1 2 1 2 2 3 2		1.10 1.31 1.31 1.10 1.31 1.31 1.11 1.31	1.2 1.1 1.1 1.2 1.1 1.1 1.1 1.1	7 7 1 7 7 6 7		
ACGL ALSI AMAL CESA CEVE COST HODI	1 1 1 1 1 1 1 1		1.10 1.10 1.10 1.10 1.10 1.10	1.2 1.2 1.2 1.2 1.2	1 1 1 1 1 1		

Figure 6.—Shrub model calibration by shrub layer, performed when the following keyword records are specified:

COVER						
SHRUBS	20.0	570.0	3.0			
SHRBLAYR	1.0	20.0	3.0	20.0	6.0	10.0
END						

#### SHRUBPC and SHRUBHT Keywords

The SHRUBPC and SHRUBHT keywords are used to supply calibration information in instances where cover and/or average height measurements have been gathered for some or all of the individual species.

The format for the two keywords is identical, each requiring up to five cards for its input. The leading card has just the keyword entered on it. Following are up to four supplemental data records containing eight fields of 10 columns each. The first four columns of each field are used to identify the shrub species, using the abbreviations given in table 1. The remaining six columns are used to enter the corresponding percentage of cover for the SHRUBPC keyword or

height in feet for the SHRUBHT keyword. For example, to enter a 50 percent cover statistic for Rocky Mountain maple, the field would appear as:

If no data were collected for a given species, there should be no entry for that species. If the species was included in the field survey but did not occur in the stand, it would be entered in the following manner:

Shrub species may be in any order on the supplemental records. Enter "-999" in the shrub code field following the last shrub entry to signify the end of the SHRUBPC (or SHRUBHT) data.

STAND GROWTH PROGNOSIS SYSTEM

VERSION 5.0 -- INLAND EMPIRE

STAND ID: S248112

MANAGEMENT CODE: NONE

PROGNOSIS WITH COVER EXTENSION - SHRUB SPECIES CALIBRATION

------ SHRUB MODEL CALIBRATION STATISTICS

CALIBRATION BY INDIVIDUAL SPECIES (SHRUBHT AND/OR SHRUBPC KEYWORD CARDS):

	SHRUB	HEIGHT (FEET)		PI	ERCENT COVER	
SHRUB SPECIES	OBSERVED VALUE	PREDICTED VALUE	SCALING FACTOR	OBSERVED VALUE	PREDICTED VALUE	SCALING FACTOR
ARUV	0.0	0.5	0.00	0.0	0.0	0.00
BERB	•••	0.8	1.00	•••	0.0	1.00
LIBO	0.5	0.5	1.00	10.0	9.9	1.01
PAMY	2.0	1.6	1.24	6.0	7.9	0.76
SPBE		1.8	1.00		2.1	1.00
VASC	0.0	0.9	0.00	0.0	0.0	0.00
CARX	0.0	0.5	0.00	0.0	0.0	0.00
LONI		3.0	1.00		2.1	1.00
MEFE	5.0	4.0	1.26	1.0	1.0	0.97
PHMA		3.7	1.00		0.3	1.00
RIBE		3.2	1.00		0.5	1.00
ROSA		2.9	1.00		1.4	1.00
RUPA		2.3	1.00		3.1	1.00
SHCA		3.9	1.00		0.0	1.00
SYMP		1.7	1.00		0.8	1.00
VAME	2.0	2.3	0.86	5.0	6.2	0.80
XETE	0.0	1.5	0.00	0.0	0.0	0.00
FERN	2.0	2.1	0.96	5.0	1.6	3.06
COMB		4.1	1.00		0.2	1.00
ACGL	10.0	8.3	1.21	2.0	3.3	0.61
ALSI		3.7	1.00		0.1	1.00
AMAL		6.5	1.00		0.9	1.00
CESA		7.3	1.00		0.2	1.00
CEVE		5.2	1.00		0.2	1.00
COST		5.4	1.00		0.4	1.00
HODI		6.1	1.00		0.3	1.00
PREM	0.0	5.4	0.00	0.0	0.0	0.00
PRVI		9.3	1.00		0.2	1.00
SALX		9.2	1.00		1.3	1.00
SAMB		4.7	1.00		0.0	1.00
SORB		4.4	1.00		0.1	1.00

Figure 7.—Shrub model calibration by species, performed when the following keyword records are specified:

COVER							
SHRUBS	20.0	570.0	3 . 0				
SHRUBHT							
ACGL 10.0MEF	E 5.0VAME	2.0PAMY	2.0LIBO	0.5PREM	0 . 0 X E T E	0.0FERN	2.0
ARUV 0.0VAS	C 0.0CARX	0.0-999					
SHRUBPC							
ACGL 2.0MEF	E 1.0VAME	5 . 0 P A M Y	6.0LIBO	10.0PREM	0 . 0 X E T E	0.0FERN	5.0
ARUV 0.0VAS	C 0.0CARX	0.0.999					
END							

The SHRUBPC and SHRUBHT keywords do not both have to be present in a given projection, although it is desirable. If only one of the keywords is present, only that portion of the calibration will be performed. The SHRBLAYR keyword should not be included when using the SHRUBPC and/or SHRUBHT keywords.

The data supplied on the SHRUBPC and SHRUBHT keyword cards are used to adjust probability of occurrence, height, and cover predictions for individual shrub species. Scaling factors are computed for each species as the ratio of actual to predicted height and cover at the start of the projection. Any species recorded as absent is given a zero probability of occurrence. In each cycle, these scaling factors are applied to the appropriate species and prediction. Scaling factors are ignored after the first simulated thinning.

Height and cover calibration factors by species are output in the Shrub Model Calibration Statistics Display (fig. 7).

# Additional Keywords

There are seven additional keywords in the COVER extension. The first, SHOWSHRB, is used to select up to six understory species for which output will always be displayed. These are in addition to the nine species that account for the most cover. It requires one supplemental data record containing shrub species codes (table 1) in six fields of 10 columns each. The four-character codes must be right-justified within the fields.

Card 1: SHOWSHRB

Card 2: Cols. 1-10: abbreviation for first species

•

Cols. 51-60: abbreviation for last species

Three keywords are used to turn off printing of the displays:

NOCOVOUT Suppress output of Canopy Cover Statistics display; default = table printed.

NOSHBOUT Suppress output of the Shrub Statistics display; default = table printed.

NOSUMOUT Suppress output of the Canopy and Shrubs Summary display; default = table printed.

The final three keywords are used to request information about the program, print intermediate debug information, and to signify the end of the COVER options:

DATELIST Print date of last revision of COVER model subprograms and common areas.

DEBUG Request printout of the results of most calculations for all tree and shrub records (caution: voluminous output!).

field 1: Cycle number in which debug output is to be printed; default = output printed in all cycles.

END Signify the end of COVER keywords and return control to the main program.

The COVER keywords for the example stand are echoed in the Prognosis Model Input Summary table (fig. 8).

# OPTIONS SELECTED BY INPUT

KEYWORD	TERS:
STDIDENT	STAND ID= S248112 PROGNOSIS WITH COVER EXTENSION - USER'S MANUAL EXAMPLE STAND
DESIGN	BASAL AREA FACTOR= 40.0; INVERSE OF FIXED PLOT AREA= 300.0; BREAK DBH= 5.0 SEE "OPTIONS SELECTED BY DEFAULT" FOR REMAINING DESIGN CARD PARAMETERS.
STDINFO	FOREST CODE= 18; HABITAT TYPE=570; AGE= 57; ASPECT CODE= 8.; SLOPE CODE= 3. ELEVATION(100'S FEET)= 34.0; SITE INDEX= 0.
INVYEAR	INVENTORY YEAR= 1977
NUMCYCLE	NUMBER OF CYCLES= 10
THINPRSC	DATE/CYCLE= 1980; PROPORTION OF SELECTED TREES REMOVED= 0.999
SPECPREF	DATE/CYCLE= 2010; SPECIES= 2.; THINNING SELECTION PRIORITY= 999.
SPECPREF	DATE/CYCLE= 2010; SPECIES= 7.; THINNING SELECTION PRIORITY= 9999.
THINBTA	DATE/CYCLE= 2010; RESIDUAL= 157.00; PROPORTION OF SELECTED TREES REMOVED= 0.980 DBH OF REMOVED TREES WILL RANGE FROM 0.0 TO 999.0 INCHES
SPECPREF	DATE/CYCLE= 2040; SPECIES= 3.; THINNING SELECTION PRIORITY= -999.
SPECPREF	DATE/CYCLE= 2040; SPECIES= 4.; THINNING SELECTION PRIORITY= -99.
THINBTA	DATE/CYCLE= 2040; RESIDUAL= 35.00; PROPORTION OF SELECTED TREES REMOVED= 0.980 DBH OF REMOVED TREES WILL RANGE FROM 0.0 TO 999.0 INCHES
ESTAB	REGENERATION ESTABLISHMENT OPTIONS: DATE OF DISTURBANCE= 2037
END	REGENERATION TALLY ONE SCHEDULED FOR 2046, AND TALLY TWO FOR 2056 END OF ESTABLISHMENT KEYWORDS
COVER	COVER OPTIONS: CYCLE= 1 DATA SET REFERENCE NUMBER = 18
CANOPY	CANOPY MODEL CALCULATIONS: TREE CROWN WIDTH, CROWN SHAPE, AND FOLIAGE BIOMASS
SHRUBS	SHRUB MODEL OPTIONS: TIME SINCE DISTURBANCE = 57.0 YEARS HABITAT TYPE = 570 SELECTED FOR PROCESSING SHRUBS OPTIONS PHYSIOGRAPHY TYPE = 3 (MIDSLOPE)

Figure 8.—Prognosis Model input options table showing COVER keywords.

DATA SET REFERENCE NUMBER= 5

TREEDATA

END

END COVER OPTIONS

PROCESS THE STAND.

# **CANOPY** Submodels

#### OVERVIEW OF THE COVER SUBMODELS

Crown Width and Stand Canopy Closure.—COVER predicts crown development for the 11 conifer species listed in table 3. Logarithmic regression equations are used to predict individual tree crown width from species, d.b.h., height, and crown length for trees 3.5 inches d.b.h. and larger. For trees less than 3.5 inches d.b.h., crown width is a function of species, height, crown length, and stand basal area (Moeur 1981). Coefficient values for the crown width models for large and small trees are shown in tables 4 and 5, respectively.

Individual tree crown area is computed as the area of a circle with diameter equal to predicted crown width. Stand canopy closure is computed from the sum of the tree crown areas,

canopy closure = 
$$\frac{\Sigma \text{ crown areas (ft}^2/\text{acre})}{43.560 \text{ ft}^2/\text{acre}} \times 100 \text{ percent.}$$

Table 3.—Tree species recognized by COVER

Code	Common name	Scientific name
WP	Western white pine	Pinus monticola
L	Western larch	Larix occidentalis
DF	Douglas-fir	Pseudotsuga menziesii
GF	Grand fir	Abies grandis
WH	Western hemlock	Tsuga heterophylla
С	Western redcedar	Thuja plicata
LP	Lodgepole pine	Pinus contorta
S	Engelmann spruce	Picea engelmannii
AF	Subalpine fir	Abies lasiocarpa
PP	Ponderosa pine	Pinus ponderosa
Other	Whitebark pine	Pinus albicaulis

Table 4.—Coefficients for estimating crown width of trees 3.5 inches d.b.h. and larger (Moeur 1981):  $In(crown width) = b_0 + b_1In(D) + b_2In(H) + b_3In(CL)$ 

	Variable coe	fficients <sup>2</sup>	
	Intercept	In(H)	
Species <sup>1</sup>	b <sub>0</sub>	b <sub>2</sub>	
WP	4.30800	- 1.37264	
L	2.31359	80919	
DF	3.02271	- 1.00486	
GF	2.20611	76936	
WH	1.32772	52554	
С	2.79784	89666	
LP	1.06804	55987	
S	3.76535	<b>- 1.18257</b>	
AF	1.74558	73972	
PP	1.62365	68098	
Other	91984	07299	
Variables	Variable coefficients		
In(D)	$b_1 = 1.08137$		
In(CL)	b <sub>3</sub> ' = .29786		

<sup>&</sup>lt;sup>1</sup>Species codes are given in table 3.

<sup>&</sup>lt;sup>2</sup>Definition of variables:

D = diameter breast height (inches) H = tree height (ft)

CL = crown length (ft).

Table 5.—Coefficients for estimating crown width of trees less than 3.5 inches (Moeur 1981):  $In(crown \ width) = b_1In(H) + b_2In(CL) + b_3In(BA)$ 

Species <sup>1</sup>	<u>Variable coefficients<sup>2</sup></u> In(H) b <sub>1</sub>	
WP	0.37031	
L	.23846	
DF	.32874	
GF	.38503	
WH	.25622	
С	.46452	
LP	.26342	
S	.33089	
AF	.33722	
PP	.36380	
Other	.07049	
Variables	Variable coefficients	
In(CL)	b <sub>2</sub> = 0.28283	
In(BA)	$b_3 = .04032$	

Species codes are given in table 3.

In the following discussion, model behavior is displayed in the plots of simulation results from five stands whose site characteristics are listed in table 6. In each 100-year simulation, the stand was clearcut in period 1, the site was prepared by burning, and a new tree list predicted using the Regeneration Establishment Model (Ferguson and Crookston 1984). These "bare-ground" regeneration projections were used to compare responses of the crown relationships to changes in stand structure and density through time.

Table 6.—Site characteristics of the stands used to examine crown model behavior. In each simulation, the stand was clearcut, the site was prepared by burning, and regenerated using the Regeneration Establishment Model

Code	Stand	Location	Habitat type	Aspect	Slope	Elevation
					Percent	Feet
E	S248112	St. Joe	570 (TSHE/CLUN)	NW	30	3,400
W	Weippe	Clearwater	530 (THPL/CLUN)	NW	20	4,000
С	Cranberry	Clearwater	530 (THPL/CLUN)	S	10	3,000
G	Grouse	Clearwater	520 (ABGR/CLUN)	Ν	10	3,100
S	Silver	Clearwater	520 (ABGR/CLUN)	S	20	3,000

Canopy closure follows an increasing sigmoidal pattern over time on regenerated stands (fig. 9). Cover increases fairly rapidly between 0 and 20 years, as the first and second waves of regeneration produced by the establishment model enter the tree list. Then, even though numbers of trees start to decline beyond 20 years, canopy closure increases as individual tree crown width continues to expand. In the stands where canopy closure reaches 100 percent and greater, crown cover peaks and then declines beyond about 50 years in the projection (stands E, W, and G). Canopy closure is incomplete on the stands where establishment is poor (C and S). Here, crown cover levels off about 70 years after regeneration, rather than peaking and declining.

<sup>&</sup>lt;sup>2</sup>Definition of variables:

H = tree height (ft)

CL = crown length (ft)

BA = stand basal area (ft²/acre).

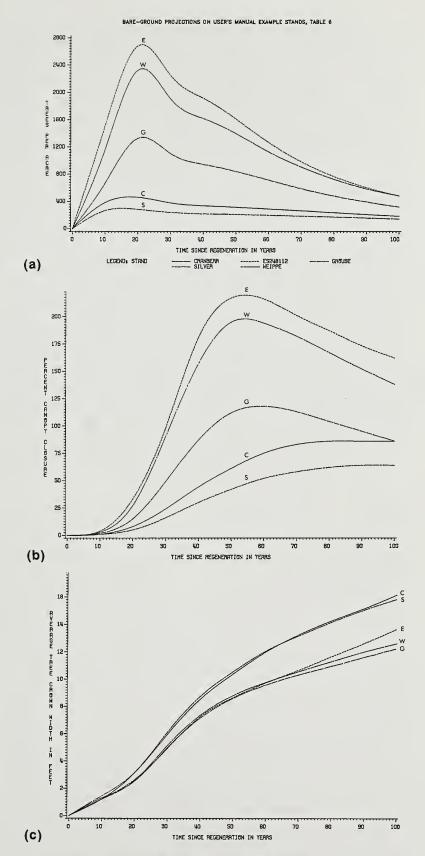


Figure 9.—Crown cover predictions for the regenerated stands in table 6: (a) predicted trees per acre versus time; (b) canopy closure versus time; (c) average tree crown width versus time.

In stands where initial densities exceed about 400 trees per acre (E, W, G), average crown width increases continually through time as the number of trees in the stand decreases. Presumably, this is related to the amount of mortality and to the types of trees that are dying. As number of trees decreases, crown width on surviving trees continues to increase through time. In addition, more of the mortality in the older stands is accounted for by suppressed trees in the understory, leaving trees with relatively more vigorous crowns. Below initial densities of 400 trees per acre, there is little relationship between individual tree crown width and number of trees, or between percentage of cover and trees. Crown width increases throughout the length of the projection in the understocked stands, even though tree numbers remain relatively constant, indicating that stand density is not great enough to be limiting to individual crown development. Under apparent lack of competition for space, the tree crowns in stands C and S are free to expand. In general, the model predicts that crowns will be wider in stands that start out with more open conditions.

Figure 10 shows the relationship of overstory cover to stand basal area and the effect of competition between crowns. Canopy closure is monotonically increasing with increasing basal area in all stands. Canopy closure attains a maximum and then declines in the fully stocked stands, which reach maximum basal area and then level off (E, W, and G). Canopy closure levels off but does not begin to decline on stands that do not reach maximum basal area within the time frame of the projection (C and S). For a given basal area level, crown width is greater in the more open stands, this difference increasing throughout the projection.

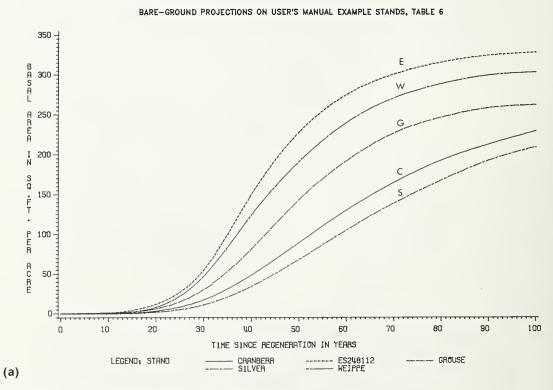
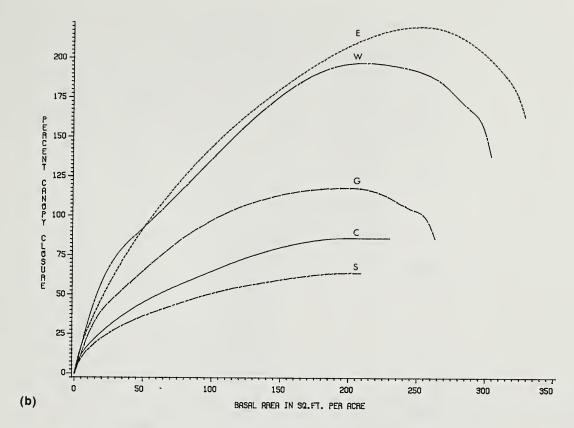


Figure 10.—(a) Stand basal area over time; (b) predicted canopy closure versus basal area; (c) average tree crown width versus basal area for the regenerated stands in table 6.



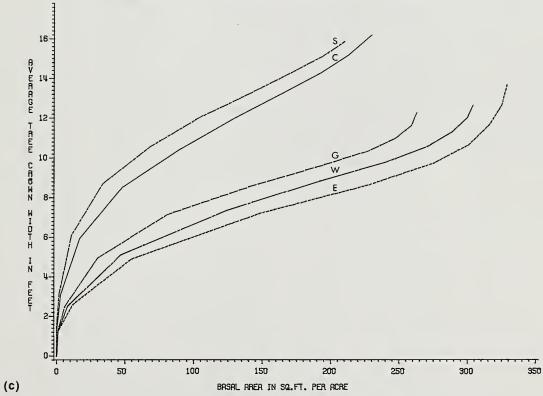


Fig 10.—(Con.)

Crown Foliage Biomass.-Foliage biomass is predicted for individual trees using logarithmic linear regression equations (Moeur 1981). As in the crown width functions, there are different equation forms for trees less than 3.5 inches d.b.h and those 3.5 inches d.b.h. and larger. The equations predict foliage biomass from species, d.b.h., change in squared diameter, height, crown length, trees per acre, and relative diameter (d.b.h./quadratic mean stand diameter) for large trees, and from species, height, crown length, and trees per acre for small trees. Coefficients for the models are listed in tables 7 and 8.

Table 7.—Coefficients for estimating foliage biomass of trees 3.5 inches and larger (Moeur 1981): In(biomass) =  $b_0 + b_1 \ln(D) + b_2 \ln(H) + b_3 \ln(CL) + b_4 \ln(DDS) +$ b<sub>5</sub>In(TPA) + b<sub>6</sub>In(DREL)

Species <sup>1</sup>	Variable coefficients <sup>2</sup> Intercept b <sub>0</sub>	
WP	2.66607	
L	1.75654	
DF	2.70587	
GF	3.11508	
WH	2.65457	
С	3.05935	
S	3.30085	
AF	3.06017	
PP	2.45249	
Other	2.62251	
Variables	Variable coefficients	
In(D)	$b_1 = 1.468547$	
In(H)	$b_2 = 1.07705$	
In(CL)	$b_3^- = .69082$	
In(DDS)	$b_4 = .30885$	
In(TPA)	$b_5 = .14210$	
In(DREL)	$b_6 = .39924$	

<sup>&</sup>lt;sup>1</sup>Species codes are given in table 3.

<sup>&</sup>lt;sup>2</sup>Definition of variables:

D = diameter breast height (inches)

H = tree height (ft)

CL = crown length (ft)

DDS = change in squared diameter (in<sup>2</sup>)

TPA = trees per acre

DREL = d.b.h/quadratic mean d.b.h.

Table 8.—Coefficients for estimating foliage biomass of trees less than 3.5 inches d.b.h. (Moeur 1981):  $ln(biomass) = b_0 + b_1 ln(H) + b_2 ln(CL) + b_3 ln(TPA)$ 

	Variable coe	efficients <sup>2</sup>	
	Intercept	In(CL)	
Species <sup>1</sup>	b <sub>0</sub>	b <sub>2</sub>	
WP	- 1.94951	1.22023	
L	- 4.73762	1.98479	
DF	- 2.05828	1.25837	
GF	- 2.43200	1.60270	
WH	- 4.17456	2.00749	
С	- 2.24876	1.37600	
LP	- 3.13488	1.62368	
S	- 2.93508	1.96125	
AF	- 1.60998	1.32649	
PP	- 2.74410	1.58171	
Other	- 1.63387	1.35092	
Variables	Variable coefficients		
In(H)	$b_1 = 0.40350$		
In(TPA)	$b_3 = .12975$		

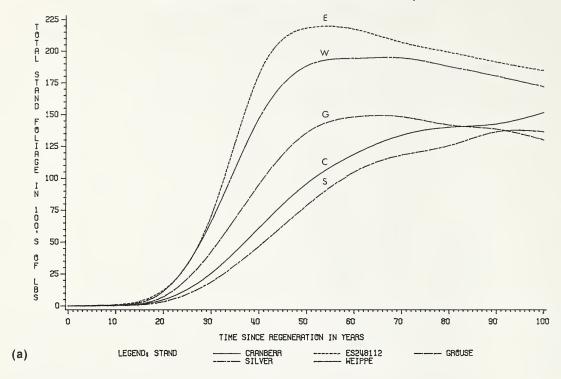
<sup>&</sup>lt;sup>1</sup>Species codes are given in table 3.

In general, the response patterns of total stand foliage biomass to trees per acre and basal area over time are similar to those for canopy closure (fig. 11). Total foliage is greater in the stands starting out with higher initial densities, peaking at 50 to 60 years, and then declining (stands E, W, and G). Stand foliage does not decline as sharply as does percentage of canopy closure near the end of the projection. Stands with low initial densities show gradually increasing values of foliage throughout the projection (C and S). Foliage production also depends on the species composition of the mature stands. Stands W and C have higher proportions of mature trees in grand fir, cedar, and Douglas-fir. These three species have greater predicted foliage values for a given set of stand conditions. Individual tree foliage development patterns through time are quite similar to those discussed for crown width.

<sup>&</sup>lt;sup>2</sup>Definition of variables:

H = tree height (ft) CL = crown length (ft)

TPA = trees per acre.



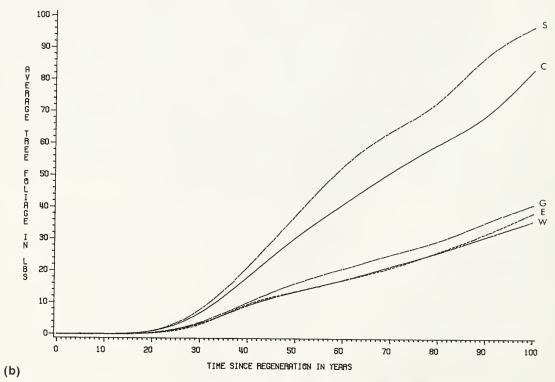
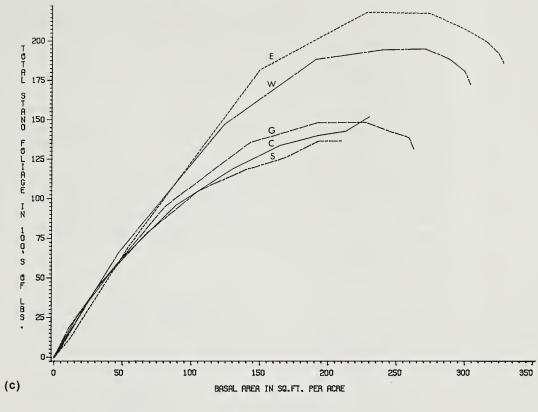
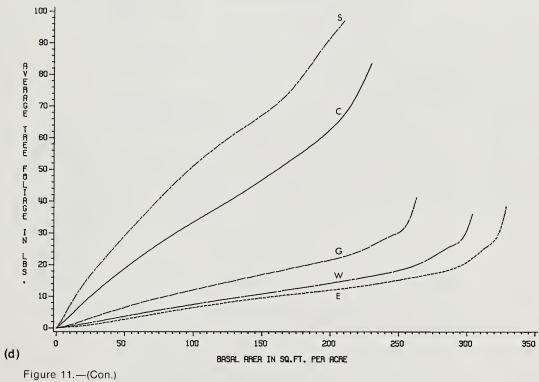


Figure 11.—(a) Predicted stand foliage and (b) tree foliage versus time; (c) stand foliage and (d) tree foliage versus stand basal area for the regenerated stands in table 6.





Crown Shape.—Individual tree crown shape is predicted each projection cycle using a linear discriminant function (Moeur 1983). Tree crowns are classified into one of five shapes—circular, triangular, neiloid, parabolic, or elliptic—using species, height, d.b.h., crown length, crown radius, crown ratio, and trees per acre as discriminating variables. All tree crowns are assumed to have a circular bottom (fig.12).

Crown shape is used in three places in COVER. First, crown volume within vertical height classes in the stand is computed by summing sections of individual crowns, using formulas to integrate the five different solids of revolution in figure 12. Second, crown profile area within height classes is computed by summing the lateral area of individual crown sections (fig. 3b). Finally, tree foliage biomass is distributed within height classes by the proportion of frustum volume within height classes to total crown volume. An inner sene-scent cone is not considered; that is, foliage is assumed to be distributed uniformly throughout the crown.

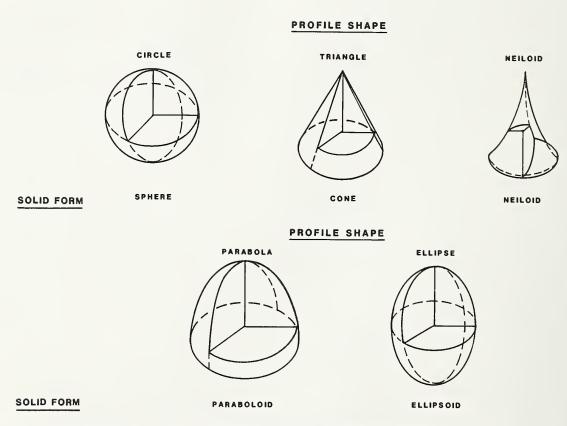


Figure 12.—Individual crowns are classified into one of five shapes in the CANOPY option (after Mawson and others 1976).

### SHRUBS Submodels

The equations for predicting understory species relationships have been synthesized from the work of different people at different times. An early version of the SHRUBS extension, known as BROWSE (Scharosch 1980), incorporated studies on shrub development in grand fir, cedar, and hemlock habitat types conducted by Irwin and Peek (1979). In Prognosis 5.0, these relationships have been replaced by probability of occurrence equations for individual understory species developed by Scharosch (1984), and height and cover equations developed by Laursen (1984). Irwin and Peek's work was based on a subset (about 2,200 plots) of the data used by Laursen (1984) and Scharosch (1984). The expanded data (about 10,000 plots located in about 500 stands) include measurements from Douglas-fir and subalpine fir ecosystems as well as the original grand fir-cedar-hemlock types. Table 1 lists the species, range of habitat types, and sources of information for which predictions are currently made.

Inside the COVER program, the probability of any shrub cover on the site, and total shrub cover given that the probability is greater than zero, are predicted first. Then, probability of occurrence is calculated for each species individually using total shrub cover as a predictor. Next, heights are predicted for each species, also using total shrub cover. The species are sorted in order from tallest to shortest predicted height. Then, progressing down through the sorted list, individual species cover is calculated using predicted species height and the amount of overtopping cover. Species cover is weighted by species probability of occurrence. Finally, the cover values are summed and reported as total understory cover for the plot.

Total Shrub Cover.—Predictions for probability of any shrubs, and for total shrub cover, conditional on probability, are taken from Laursen (1984). The probability that shrub cover exists given the described stand conditions is calculated and reported in the summary display. This value expresses the proportion of 1/300-acre plots on which shrub cover is expected to be greater than zero. It is computed from a logistic regression model using slope, elevation, overstory basal area, habitat type, time since disturbance, and the interaction of time since disturbance and basal area. Next, total shrub cover is predicted using a lognormal linear regression model fit on plots in the original data where cover was actually present. Additional variables in this model are type of disturbance and the time and type of disturbance interaction. Total shrub cover predicted by Laursen's model for three hypothetical treatments is shown in figure 13.

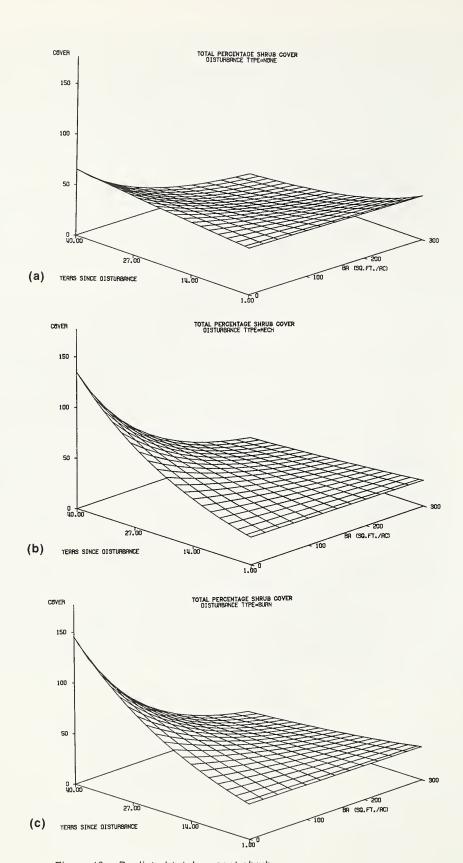


Figure 13.—Predicted total percent shrub cover relative to time since disturbance and overstory basal area following (a) no site preparation, (b) mechanical disturbance, and (c) burning. Variables held constant are slope = 0.25, elevation = 3,500 ft, habitat type = ABGR/CLUN (from Laursen 1984).

Table 9.—Variables used to predict probability of occurrence, height, and percentage of cover for the shrub species listed in table 1

#### Continuous variables

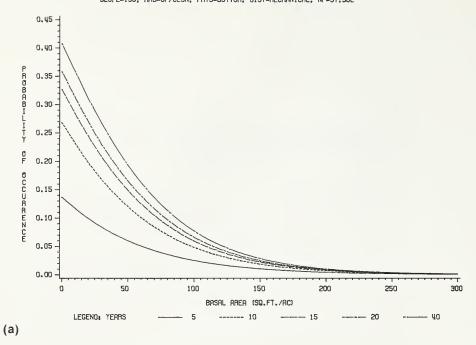
Overstory basal area (ft²/acre)
Stand elevation (100's of feet)
Elevation squared (10,000's of feet)
Total percent shrub cover
Slope (percent/100)
Slope × sin(aspect)
Slope × cos(aspect)
Time since site disturbance (years)
Overstory basal area × time since disturbance

#### Categorical variables

Overstory climax species (includes habitat type):1 Douglas-fir (210, 220, 260, 310, 320, 330, 340, 380, 390, 395) Grand fir (505, 510, 511, 515, 520, 525, 590) Western redcedar (530, 540, 550) Western hemlock (570) Subalpine fir/mountain hemlock (620, 635, 645, 650, 670, 690, 705, 710, 720, 721, 730, 790, 830) Understory climax union (includes habitat type):1 ABGR/CLUN, COOC, XETE, LIBO, ABLA/STAM, ABLA/LUHI (510, 511, 520, 590, 635, 690, 710, 830) THPL series (530, 540, 550) Tall shrub (260, 390, 515, 525, 645, 670, 720, 721, 730) Low shrub (310, 340, 380, 395, 505, 705) TSHE/CLUN, ABLA/CLUN (570, 620) Grasses (210, 220, 320, 330, 650, 790) National Forest grouping: Boise, Payette Nezperce Clearwater, Coeur d'Alene, Lolo, St. Joe Panhandle, Colville, Kaniksu, Kootenai Physiography: **Bottom** Lower slope Midslope Upper slope Ridge Type of site disturbance: None Mechanical Burn Road Type of disturbance × overstory basal area Type of disturbance × time since disturbance

Species Probability of Occurrence.—Predicted probability of occurrence equations for the species in table 1 are from Scharosch (1984). He uses a logistic multiple regression model to predict species occurrence from the continuous and categorical variables in table 9. The logistic model produces a sigmoidal curve with predicted values restricted to the closed interval (0,1). Representative responses of predicted probability of occurrence are plotted in figure 14.

<sup>&</sup>lt;sup>1</sup>See table 2 for habitat type code definitions.



PHMA — PROBABILITY VS. SLOPE AND ASPECT, BY HABITAT TYPE VRRIABLES HELD CONSTANT: SHRUB COVER-50%, ELEV-44000, BR=20, TIME=20, PHYS=MIOSLOPE, OIST=NONE, NF=ST.JOE

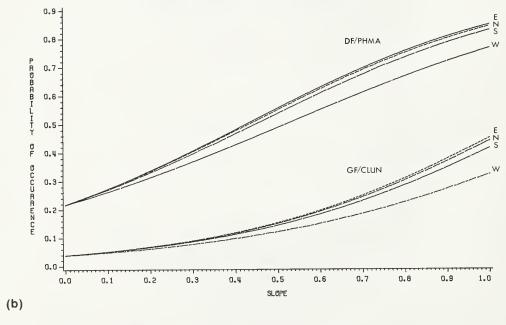
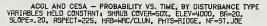


Figure 14.—(a) Predicted probability of occurrence across a range of overstory basal area and time since disturbance for Ceanothus velutinus; (b) predicted probability of occurrence by slope and aspect for Physocarpus malvaceus on PSME/PHMA and ABGR/CLUN habitat types; (c) predicted probability of occurrence by disturbance type for Ceanothus sanguineus and Acer glabrum (from Scharosch 1984).



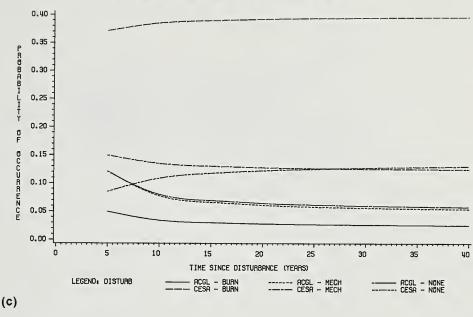


Fig 14.—(Con.)

Individual Species Height.—Laursen (1984) uses either linear or lognormal regression model forms to predict the average heights of individual species in the described stand. Model forms differ between species, but most contain time since disturbance, overstory basal area, and predicted total shrub cover and its residual (the difference between observed and predicted values when an observed value is supplied by the user) as independent variables. Various transformations of the independent variables in table 9 are included to represent other site and treatment effects. The equations are detailed in Laursen's paper. Representative response patterns of predicted height over time and stand basal area are plotted in figure 15.

Individual Species Cover.—Percentage of cover by species follows lognormal or logistic distributions, conditional on the presence of the species in the stand (Laursen 1984). Cover for most species is a function of predicted species height and its residual (observed minus predicted when observed values are supplied), overtopping by taller species (the percentage of predicted cover above current height), time since disturbance, type of disturbance, overstory basal area, and site conditions. Representative plots of the response of species cover to basal area over time are shown in figure 16.

Twig Production and Dormant Season Shrub Biomass.—Total current year's twig production in twigs per square foot and total dormant season aboveground shrub biomass in pounds per acre are computed only for the 16 species noted in table 1 and only on ABGR/CLUN, THPL/CLUN, and TSHE/CLUN habitat types (Irwin and Peek 1979). Twig production is a log-linear regression equation dependent on time since disturbance, overstory crown competition factor (CCF) (Krajicek and others 1961), and habitat type. Shrub biomass is also log-linear, predicted from time since disturbance and CCF.

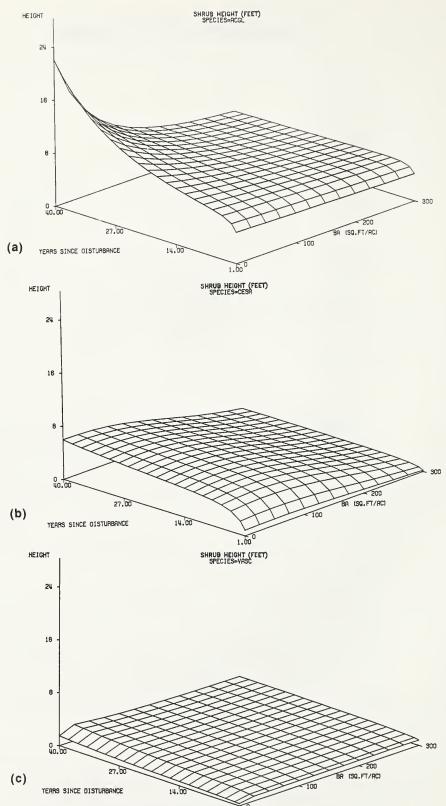


Figure 15.—Predicted shrub height relative to overstory basal area and time since disturbance for (a) Acer glabrum, (b)
Ceanothus sanguineus, and (c) Vaccinium scoparium. Variables held constant are slope = 0.25, aspect = east, elevation = 3,500 ft, habitat type = ABGR/CLUN, physiography = midslope, disturbance type = burn, forest = St. Joe (from Laursen 1984).

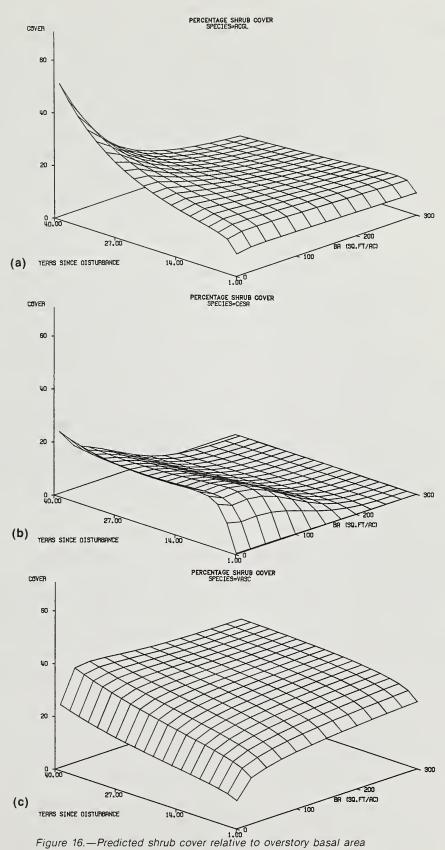


Figure 16.—Predicted shrub cover relative to overstory basal area and time since disturbance for (a) Acer glabrum, (b) Ceanothus sanguineus, and (c) Vaccinium scoparium. Variables held constant are slope = 0.25, aspect = east, elevation = 3,500 ft, habitat type = ABGR/CLUN, physiography = midslope, disturbance type = burn, forest = St. Joe (from Laursen 1984). Values shown are for predicted cover prior to multiplication by probability of occurrence.

# Stand Successional Stage

One subprogram within the COVER extension computes and displays a "stand successional code" that is related to the vertical structure of both shrubs and trees (Peterson 1982). The purpose of the classification is to provide a basis for relating wildlife use to a particular type of stand that now exists, or that will result from management. The codes are listed in table 10.

The classification is a function of time since stand disturbance, crown competition factor (CCF), average tree height, a selectively defined "average" stand diameter, and average tall shrub height (average predicted height, weighted by predicted cover for Acer glabrum, Alnus sinuata, Amelanchier alnifolia, Ceanothus sanguineus, Ceanothus velutinus, Cornus stolonifera, Holodiscus discolor, Prunus emarginata, Prunus virginiana, Salix spp., Sambucus spp., and Sorbus spp.).

To compute average stand diameter, a series of logical tests determines whether the stand is even-aged, two-storied, or all-aged based on the distribution of trees per acre and percentage of cover by 10-ft height classes. For evenaged stands (a stand in which 90 percent of the total canopy closure is accounted for by trees within a 30-ft height range), the root mean square diameter of the stand is used as the average diameter. For two-storied stands (the two most dense 20-ft layers must be separated by 20 ft or more), the root mean square diameter for the most dense 20-ft layer only is used as the average diameter. For all-aged stands (the most dense 10-ft layer contains less than 20 percent of the total canopy closure, the three most dense 10-ft layers contain less than 50 percent, etc.), the average diameter is taken to be the root mean square diameter of the three most dense 10-ft layers. The stand is then classified according to the scheme in table 10. Note that restrictions for stages 1 to 4 are of the type "CCF less than 30 or average tree height less than 1 ft." For stages 5 and 6, there is a "percent shrub cover" or "average tall shrub height" restriction.

Table 10.—Classification scheme for assigning stand successional stage code (after Peterson 1982)

Condition	Recent disturbance (1)	Low shrub (2)	Medium shrub (3)	Tall shrub with no conifers (4)	Tall shrub with few conifers (5)	Tall shrub with mostly conifers (6)	Sapling timber (7)	Pole timber (8)	Mature timber (9)	Old-growth timber (10)
Time since stand								7		
disturbance (years)	< 5									
Crown competition	< 30	< 30	< 30	< 30	30-50	50-100	> 100	> 100	> 125	> 100
factor	or	or	or	or						
Average tree height (ft)	<1	<1	<1	< 1	< 5	>5				
Percent shrub cover	< 25			> 70	>50	>30				
					or	or				
Average "tall shrub"										
height (ft)	< 1.0	< 2.5	2.5-5.0	>5.0	>5.0	>5.0				
"Average" stand diameter (inches)							< 4	4-11	11-24	> 24

## USING THE COVER EXTENSION AS A MANAGEMENT TOOL

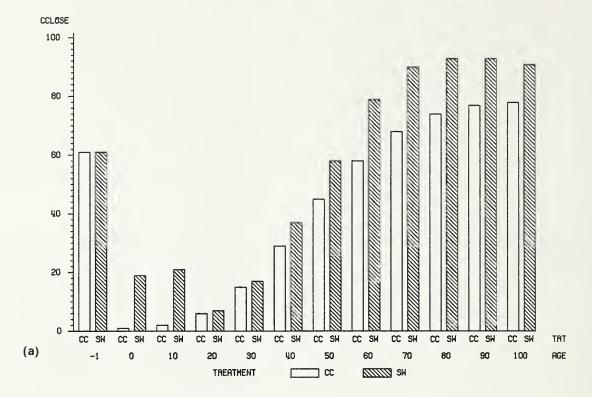
The general comments in this section are intended to guide the user in applying information produced by the combined Prognosis/COVER model in a broader planning context. There is little specific COVER output that directly interprets wildlife, hydrologic, or insect pest relationships. Instead, it is up to the user to interpret the information specific to his or her application. An important criterion in developing COVER was to make it broad enough in design for many applications, but primarily to link vegetation changes with nontimber resources. COVER can be a useful tool for decisionmaking when combined with knowledge of a specific resource ecology and its relation to vegetation management systems.

## Wildlife Habitat Applications

Many of the shrub and tree cover development values produced by COVER can be related to wildlife habitat. An example stand projection illustrates how displays generated from COVER values can be used to compare vegetation changes and alternative treatment effects on wildlife habitat. The example presented is a stand that was inventoried in 1984 at 145 years of age. Initial stand density is 459 trees per acre, composed of an understory of Engelmann spruce beneath a sparse overstory of Douglas-fir, western larch, and grand fir. The stand is on the Nezperce National Forest, ABGR/VAGL habitat type, northeast aspect, 50 percent slope, at 5,800 ft elevation. Two silvicultural treatments were simulated and compared. In the first, the lower and upper portions of the diameter distribution were removed in cycle 1, leaving 60 Douglas-fir, larch, and spruce trees per acre, with diameters between 18 and 25 inches. At the end of the second cycle, natural regeneration was predicted to be 780 trees per acre, composed of 60 percent grand fir, 25 percent Douglas-fir, and 15 percent spruce. Twenty years after the initial thin, all trees greater than 7 inches d.b.h. were removed, and the regenerated stand was grown to age 100. This prescription is referred to as "two-step shelterwood." The second prescription, "clearcut," cut all trees in the stand in cycle 1. Natural regeneration at the end of the second 10-year cycle was 450 trees per acre, of which 65 percent were grand fir, 30 percent Douglas-fir, and 5 percent larch. This stand was then grown to age 100.

Excellent discussions of cover-forage ratios, hiding cover, and thermal cover requirements for deer and elk are presented by Thomas and others (1979a). Thermal cover is defined to be any stand of coniferous trees 40 ft or more tall, with an average canopy closure exceeding 70 percent. Figure 17 compares canopy closure and tree height for the two prescriptions. The shelterwood stand reaches a top height of 56 ft and 79 percent canopy closure at age 60. Beyond 60 years, the canopy is nearly fully closed, providing thermal cover throughout the rest of the projection. The clearcut stand supplies less adequate thermal cover, at an older age (80 years and beyond).

#### PERCENTAGE CANOPY CLOSURE - SHELTERWOOD AND CLEARCUT TREATMENTS



#### MEAN TREE HEIGHT (FEET) - SHELTERWOOD AND CLEARCUT TREATMENTS

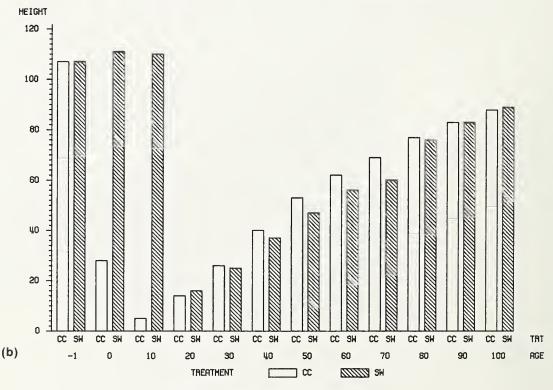


Figure 17.—Thermal cover compared for shelterwood and clearcut prescriptions for the wildlife example stand: (a) stand canopy closure versus stand age following initial thinning in 1984; (b) average tree height versus stand age.

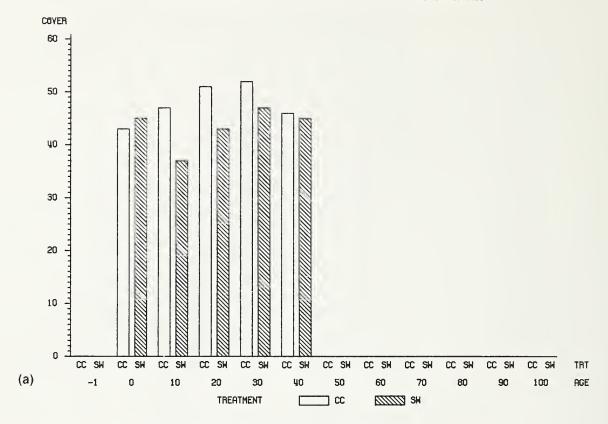
Hiding cover (defined by Thomas and others [1979b] to be vegetation capable of hiding 90 percent of a standing adult elk from view at a distance equal to or less than 200 ft) can be compared between alternative treatments by looking at shrub cover, crown profile area in the first 10 ft of height in the stand, the sum of stem diameters, and trees per acre (fig. 18). Predicted shrub cover is 44 percent for the shelterwood treatment and 43 percent for the clearcut treatment following the 1984 thinning. It increases to 52 percent at age 30 in the clearcut stand. In the shelterwood stand, shrub density decreases to 31 percent at age 20, and then the overstory removal triggers a second wave of increasing shrub cover. By age 40, shrub density is again equal in the two treatments, at about 45 percent cover.

In general, hiding cover in stems and tree crowns is greater in the shelter-wood treatment, primarily because regeneration is more successful. In both treatments, area in crowns in the lower 10 ft of the stand begins at nothing at stand age 0 and increases to a maximum at about 30 or 40 years. After 40 years, the lower canopy level begins to grow above the height where it can be considered effective hiding cover. Beyond 60 years, stem area contributes more to hiding cover, and crown profile area contributes less.

Figure 19 illustrates how canopy development predicted by COVER may be displayed graphically through time. The vertical distribution of crown profile area is shown for the two prescriptions immediately before thinning in 1984, and at several points in time following harvest. Similar stand profiles could be drawn to represent numbers of trees, percentage of canopy closure, crown volume, or foliage density by height. These values may be useful in relating bird habitats to the structure of vegetation (for example, see Langelier and Garton in press b; Peterson 1982) or the "life form" association with stand successional stage proposed by Thomas and others (1979b).

The wildlife example presented here shows how stem area, crown profile area, and related values can be interpreted as thermal and security cover for big game. Foliage-height profiles and crown volumes by height classes are also useful statistics in analyzing bird habitat relationships. The interpretation of canopy and shrub height and density into wildlife cover is hypothetical for the example presented and, of course, depends on knowledge of actual stand conditions.

Vegetation management for wildlife use requires interdisciplinary knowledge of the interactions of vegetation, site and topographic conditions, silvicultural options, and road and harvest operations. The combined Prognosis/COVER model can provide information about the condition of the vegetation, including species composition, size, and distribution of both the overstory and understory. Thomas (1979) points out that habitat use does not follow some arbitrary step function, but that wildlife species use vegetation despite what wildlife biologists define to be less than optimum conditions (70 percent canopy closure for thermal cover, for example). COVER values are expressed as continuous through time, rather than as threshold values, so that the user may evaluate their implications for wildlife habitat management. COVER output can be written to a disk file (by using the second parameter on the COVER keyword) for later summarization and graphical display.



#### CROWN PROFILE AREA (SQ.FT/AC) - SHELTERWOOD AND CLEARCUT TREATMENTS

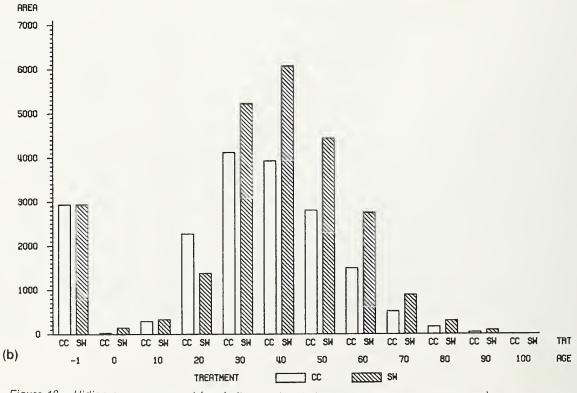
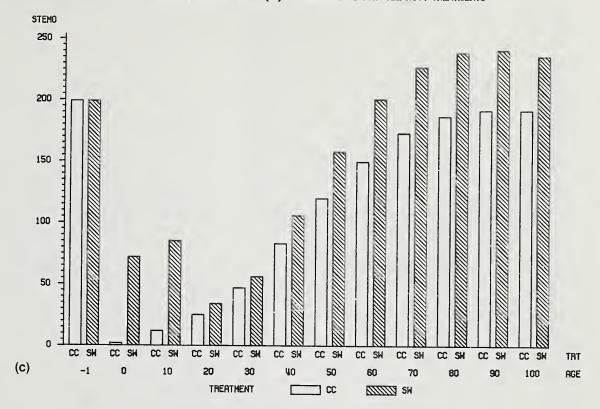
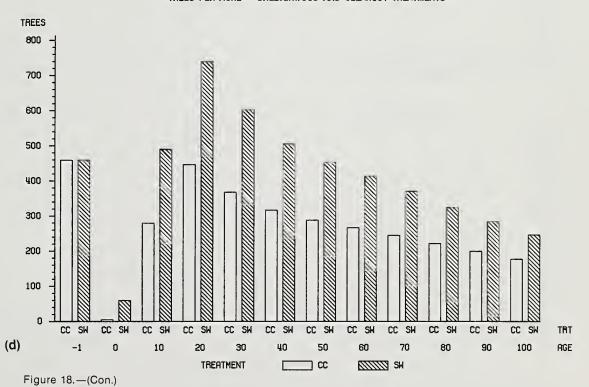


Figure 18.—Hiding cover compared for shelterwood and clearcut prescriptions for the wildlife example stand: (a) percentage shrub cover versus stand age following initial thinning in 1984; (b) crown profile area in the lower 10 ft of the stand versus stand age; (c) sum of stem diameters versus stand age; (d) number of trees versus stand age.



TREES PER ACRE - SHELTERWOOD AND CLEARCUT TREATMENTS



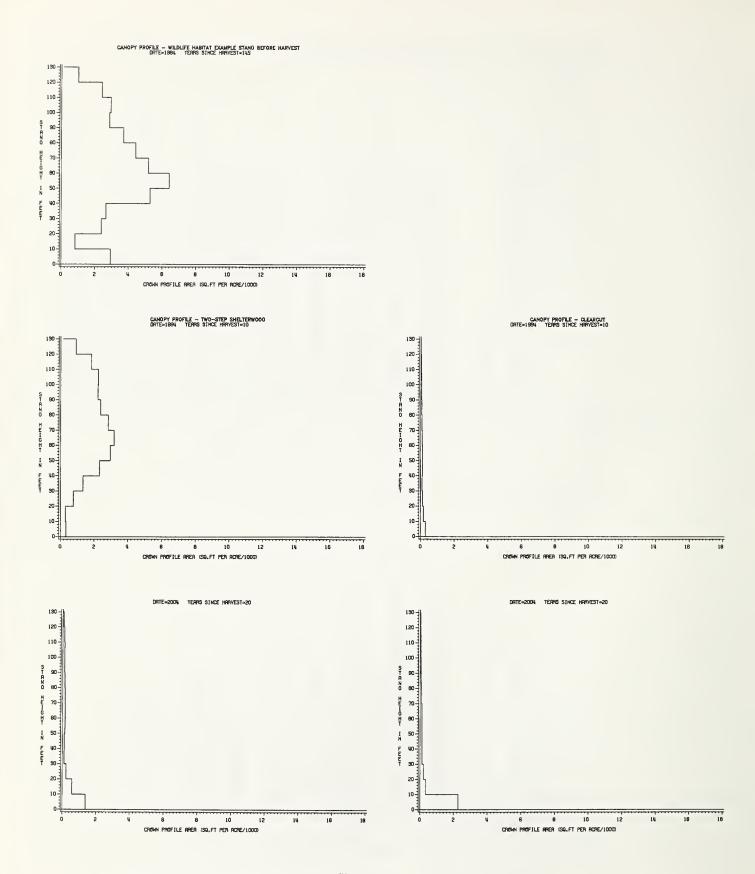


Figure 19.—The development through time of crown profile area by stand height for the wildlife habitat example.

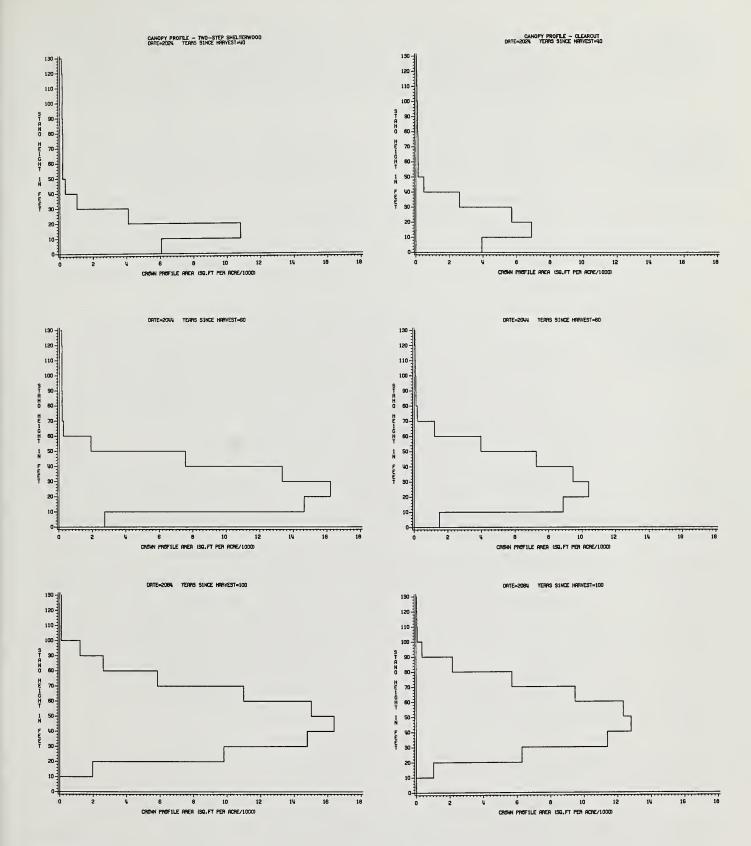


Figure 19. (Con.)

### Hydrologic Applications

The COVER extension can be used to link natural or human-made vegetation changes to watershed impacts, primarily through predicted canopy closure and shrub cover values. The sum of cover conditions across stands in a watershed can be expressed as the percentage of ground exposed to precipitation and run-off following management.

## Forest Insect Pest Modeling Applications

The COVER relationships for conifer foliage biomass and crown shape are currently being used in the Western Spruce Budworm Outbreak Model (Sheehan and others in preparation). The foliage equations predict total potential foliage biomass on undamaged trees. Assumptions about the partitioning of foliage by age classes within branches, the distribution of foliage within crowns, and the predicted effects of defoliation on future foliage production are all components of the western spruce budworm (WSBW) model. These relationships help predict insect damage to trees through availability of food and pattern of larvae dispersal vertically in the stand.

## Succession Modeling and Planned Improvements

The combination of understory development and vertical and horizontal canopy development relationships comprising the COVER extension represents a framework for which quite detailed successional trends can be displayed through time. Planned future studies will more explicitly link the shrubs component to the regeneration system (Ferguson and others 1985) and small tree development models (Wykoff 1985) by modeling effects of shrub competition on small conifer establishment and growth rates.

A second improvement planned for COVER includes the option of making predictions on individual sample points within a stand, thus allowing a heterogeneous site to be represented in greater resolution. This will improve the prediction of shrub conditions, allow reporting of within-stand variance statistics, and provide a measure of the spatial distribution of overstory and understory cover.

Work is progressing on a graphical display link that shows the vertical and horizontal relationships of trees and shrubs through time. The display is in the form of a "lollipop" diagram in which each of several figures of a certain height and shape represent different types of tree and shrub records in the stand.

The Prognosis/COVER program incorporates models that are specific to certain species and conditions prevalent in the Northern Rocky Mountains, but it is also a general system that can be calibrated to local conditions. As shrub and crown data specific to other areas and habitat types become available, new relationships can be incorporated into the model to expand the range of predictions.

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## APPENDIX: SUMMARY OF COVER KEYWORDS

Category	Keyword	Keyword use and associated parameters	Default parameter or conditions
Program control	CANOPY	Compute canopy cover statistics.	None
	COVER	Invoke the COVER extension; always the first keyword record.	
		field 1: Cycle in which COVER calculations begin.	1
		field 2: Dataset reference number for COVER output.	18
	END	Last keyword record; return control to main program.	
	SHRUBS	Compute shrub statistics.	
		field 1: Number of years since stand disturbance.	Stand age (STDINFO card) or 3 years
		field 2: Habitat type code.	Stand habitat type code (STDINFO card)
		field 3: Physiographic type code. field 4: Disturbance type code.	2 (Lower slope) 1 (None)
Calibration	SHRBLAYR	Enter shrub calibration values by shrub layer.	No calibration
		fields 1,3,5: Average height (ft) of three shrub layers. fields 2,4,6: Average cover (%) of three shrub layers.	
	SHRUBHT	Enter shrub height calibration values by species.  Up to four supplemental records: Species code and height (ft) in consecutive 10-column fields.	No calibration
	SHRUBPC	Enter shrub cover calibration values by species. Up to four supplemental records: Species code and cover (%) in	No calibration
control	DATELIST	consecutive 10-column fields.  Print date of last revision for COVER model subprograms and common areas.	None
	DEBUG	Request printout of COVER calculations for tree and shrub list.	
		field 1: Cycle in which debug output is to be printed.	Print in all cycles
	NOCOVOUT	Suppress the canopy cover statistics display.	Display printed
	NOSHBOUT	Suppress the shrub statistics display.	Display printed
	NOSUMOUT	Suppress the canopy and shrubs summary display.	Display printed
	SHOWSHRB	Select additional shrub species to be displayed.	Print nine species which account for most cover.
		Supplemental record: Species codes right justified in six consecutive 10-column fields.	most cover.



Moeur, Melinda. COVER: a user's guide to the CANOPY and SHRUBS extension of the Stand Prognosis Model. General Technical Report INT-190. Ogden, UT: U.S. Department of Agriculture, Forest Service, Intermountain Research Station; 1985. 49 p.

The COVER model predicts vertical and horizontal tree canopy closure, tree foliage biomass, and the probability of occurrence, height, and cover of shrubs in forest stands. This paper documents use of the COVER program, an adjunct to the Stand Prognosis Model. Preparation of input, interpretation of output, program control, model characteristics, and example applications are described.

KEYWORDS: stand structure, crown width, crown shape, canopy closure, foliage biomass, shrub cover, shrub height, shrub occurrence, stand simulation

or

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